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University of Huddersfield Report on expenditure and compliance with the RCUK Policy on Open Access 2013-14

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2014 RCUK Open Access Report

Academic Services Team

Computing and Library Services

September 2014



University of Huddersfield

Report on expenditure and compliance with the RCUK Policy on Open Access 2013-14

1. Introduction

This is a report on the expenditure and compliance with the RCUK Open Access Policy between 1st April 2013 and 31st July 2014 for the University of Huddersfield. It covers the activities we've undertaken during that period and touches on some of our plans for the future.

The University of Huddersfield received a block grant of £13,466 in 2013 and some additional internal funds were made available to support gold open access. We have preferred gold open access for RCUK funded research, although we continue to encourage staff to use green open access. For our total research output we have seen the proportion of open access material running at 68% for items added to the Repository in 2014 (70% for peer reviewed articles published in this period).

Staff in Computing and Library Services support researchers with Open Access through advocacy, training and one to one support. Computing and Library Services staff have also managed the Open Access funds on behalf of the University. The University of Huddersfield also participated in the JISC APC Pilot Project (<https://www.jisc.ac.uk/Jisc-APC-project/>)

2. Finance

The University of Huddersfield received £13,466 from RCUK in 2013 and has spent all of this money as well as using some internal funds.

Expenditure	Credit	Debit
Budget Allocation from RCUK	£13,466	
Payments to Publishers		£14,595
Internal funds	£1,129	
Total expenditure		£14,595

3. Compliance

We achieved a compliance rate of 89% when looking at papers published as either Green or Gold Open Access, with 63% being made available through Gold Open Access.

Publications	Number
Papers arising from RCUK funding	27
Papers published as Gold Open Access	17
Papers published as Green Open Access	7
Non-compliant papers	3

Publication level compliance

We have collected compliance data for individual articles and will publish this on our website (after the re-launch of the Library website in mid September 2014). Not all articles have been published with a CC-BY licence either due to the publisher being unable to offer this licence, or the article being too far through the publication process to be changed to the correct licence when we selected the gold option.

Data quality

The University of Huddersfield does not currently have an internal system that links research grants to publications. The figures reported are on a best efforts basis, the total number of RCUK funded publications may be greater than we have accounted for. We are working with colleagues within the University to address this issue and hope to have more accurate data in the future.

We are also aware that a small number of publications were published as Gold Open Access but outside of our central workflow, and we have so far been unable to identify the exact expenditure in these cases. When we do we will update our item level data to reflect this.

The data relates to papers published within the reporting period.

Payments to publishers

Publisher	Spend	Papers
Elsevier	£6,500	11
Springer	£6,395	3
IOPP	£1,700	1

4. Future plans

We are in the process of agreeing a new Open Access Policy for the University, and have a programme of advocacy and training in place to support this. We are also active through our University Press in exploring other models of publishing material on Open Access, including monographs.

We will continue to improve our internal systems for monitoring research outputs and linking them to the grant that funded them. We hope to further increase the proportion of our output that is available as Open Access.

5. Other activities

We are also involved in a number of projects to help support the development of Open Access within and beyond our own institution.

HHuLOA

The HHuLOA project (<https://library3.hud.ac.uk/blogs/hhuloa/>) is a two year Jisc supported project from the Universities of Hull, Huddersfield and Lincoln that will examine the role of open access in furthering the development of research at the partner institutions. This project is part of the wider OA Good Practice Pathfinder group of projects (<http://openaccess.jiscinvolve.org/wp/>)

OAWAL – Open Access Workflows for Academic Librarians

The University of Huddersfield hosts the OAWAL blog (<https://library3.hud.ac.uk/blogs/oawal/>). Authored by Graham Stone (University of Huddersfield) and Jill Emery (Portland State University), the intention is to make OAWAL an openly accessible wiki/blog site for librarians working on the management of open access workflow within their given institutions. See also: Emery, Jill and Stone, Graham (2014) *[Introduction to OAWAL: Open Access workflows for Academic Librarians](#)*. *Serials Review*, 40 (2). pp. 83-8

Jisc Monitor

The University will be involved in the new Jisc Monitor project (<https://www.jisc-collections.ac.uk/Jisc-Monitor/>), which will “explore how a Jisc managed shared service might support institutions in meeting HEFCE policy”.

OAPEN-UK

The University is represented on the OAPEN-UK steering group (<http://oopen-uk.jiscebooks.org/>), a 5 year project due to report in summer 2015, “gathering evidence to help stakeholders make informed decisions on the future of open access scholarly monograph publishing in the humanities and social sciences (HSS)”. The part of the project, members of the University co-authored a Guide to Creative Commons for Humanities and Social Science monograph authors (Collins, Ellen, Milloy, Caren and Stone, Graham - <http://oopen-uk.jiscebooks.org/ccguide/> and <http://eprints.hud.ac.uk/17828/>). A new authors guide to publishing OA monographs for Humanities and Social Science is being co-written at the moment.

University of Huddersfield Press

The University Press (<http://unipress.hud.ac.uk/>) is an open access publisher supporting early career and aspiring authors. It publishes 5 peer reviewed journals, with another 2 due for launch in 2015. The Press is being added to Sherpa Romeo as a ‘green’ publisher, which allows the deposit of published PDFs into institutional repositories, APCs are also waived. The Press has also registered for inclusion in the Directory of Open Access Journals.

In addition, the Press publishes monographs, 5 of which have recently been accepted by the Directory of Open Access Books (<http://www.doabooks.org/doab?func=publisher&pId=1250&uiLanguage=en>)

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