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“To learn or not to learn” from  
formative assessment and  
formative feedback?  
What the literature says.

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Research Festival – March 2009

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# Aims



- To disseminate learning and findings from the early stages of my EdD research project such that:
  - Learning in respect of the **process** and findings is shared
  - Awareness is raised in relation to the subject matter
  - Discussion is generated and questions are raised to help me develop the research project



# Outline

- Background and rationale
- Literature search
- Literature review
- Ethical approval
- Key issues
- Next steps



# Background and Rationale

- Ability to make **decisions**, **problem-solve** and [clinically] **reason** is paramount to graduate practice and professional integrity
- **How are these skills learned?**
- **What specific learning opportunities have influenced this learning?**
- Quality Assurance Agency for Higher Education (2006: 20) “...appropriate and timely feedback to students on assessed work in a way that promotes learning and facilitates improvement...”

# Best Learning Strategies?

- “Trial and error” learning is essential to the learning process  
*Race (1994)*
- “Trial and error” learning is ineffective, unnecessary and unhelpful  
*Sadler (1989)*
- Formative assessment and formative feedback have the ability to encourage an individual to change and modify their activities to promote ongoing learning  
*Black and Williams (1998)*
- Formative assessment and formative feedback directly influence learner motivation  
*Brown (1999); MacMillan and McLean (2005); Race (2005); Irons (2008)*
- Learners do not always use feedback  
*Irons (2008)*
- Formative assessment and formative feedback is reliant upon, in part, the learner’s motivation to reduce this mismatch between actual and expected performance  
*Biggs (1998)*
- Assessment strategies can precipitate a strategic and tactical learning approach such that learners aim to understand the “rules of the game”  
*Norton (2007)*
- Formative assessment and formative feedback nurture the summative assessment process, especially when the theory/skill being learned is new  
*McAlpine (2004)*
- Feedback can have the most powerful single effect on achievement  
*Rushton (2005)*

# Aim of the Study



- To investigate the worth attributed to formative assessment and formative feedback by learners
  - Discover the impact of formative assessment and formative feedback on the trajectory of learning
  - Correlation between the learner and educator's view of its role?



# What do I hope to achieve?

- Uncover the extent to which learners and educators **truly** understand their responsibilities, and those of other stakeholders, with respect to formative assessment and formative feedback within higher education
  - Students are supported along their individual learning continuum
  - Staff are educated, supported and guided



# Definitions



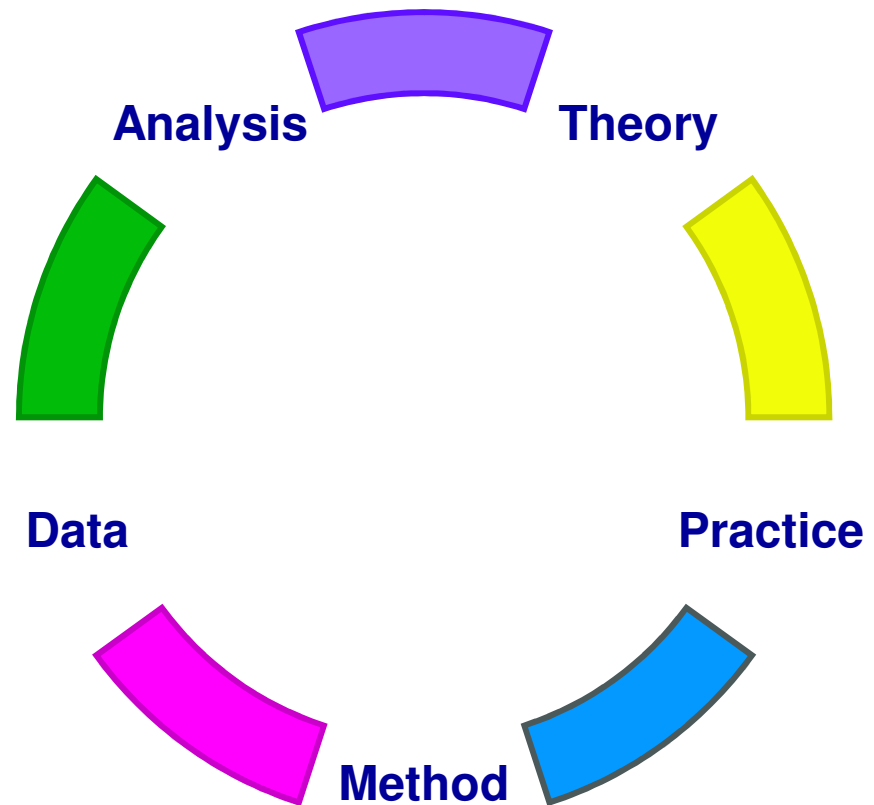
- Formative assessment is “any task or activity which creates feedback (or feedforward) for students about their learning”
- Formative feedback is “... any information, process or activity which affords or accelerates student learning based on comments ...”

*Irons (2008: 7)*

# Theoretical Position and Research Paradigm

- Inductive position
- Interpretive paradigm
- Flexible

“...capture, interpret and explain how a group [of students] ... experience and make sense [of formative assessment and formative feedback] ...” (Robson, 2002: 89)





# Central Research Question

What is the value and impact of formative assessment and formative feedback to the learning experience of students?

- What worth do learners and educators place on formative assessment and formative feedback within and across the learning spectrum?
- What role does formative assessment and formative feedback play in the “teaching” experience of the educator?
- Is there difference or similarity between the worth placed on formative assessment and formative feedback by learners and educators?
- Is there difference or similarity between practice-based educators and university-based educators as to the role and merit of formative assessment and formative feedback?
- How is formative assessment and formative feedback used to support the summative assessment process across the spectrum of education?
- What are the key features of formative assessment and formative feedback across education and how can these be implemented more widely?



# Literature Search Strategy

- Search Terms:

- Formative assessment (FA)
- Formative feedback (FFB)
- Learning (L)
- Formative evaluation (FE)

*Koh (2008)*

- Search Dates:

- January 1998 – March 2009

*Black and Wiliam (1998)*

# Search Results

	Database	Dates	Terms	In	What	Result	First Screen	Comments
#1	EBSCO	Jan 98 - Mar 09	FA + L	Abstract	Phrase	228	50	
#2	EBSCO	Jan 98 - Mar 09	FFB + L	Abstract	Phrase	27	8	
#3	EBSCO	Jan 98 - Mar 09	FE + L	Abstract	Phrase	89	2	
#4	Emerald	Jan 98 - Mar 09	FA + L	Abstract	Words	8	3	
#5	Emerald	Jan 98 - Mar 09	FFB + L	Abstract	Words	5	2	as #4
#6	Emerald	Jan 98 - Mar 09	FE + L	Abstract	Words	8	0	
#7	ERIC	Jan 98 - Mar 09	FA + L	Abstract	Phrase	98	32	Peer reviewed
#8	ERIC	Jan 98 - Mar 09	FFB + L	Abstract	Phrase	15	3	Peer reviewed
#9	ERIC	Jan 98 - Mar 09	FE + L	Abstract	Phrase	40	1	Peer reviewed
#10	CINAHL	Jan 98 - Mar 09	FA + L	Abstract	Phrase	22	3	
#11	CINAHL	Jan 98 - Mar 09	FFB + L	Abstract	Phrase	7	2	
#12	CINAHL	Jan 98 - Mar 09	FE + L	Abstract	Phrase	27	1	
#13	Wiley InterScience	Jan 98 - Mar 09	FA + L	Keywords	Phrase	5	3	
#14	Wiley InterScience	Jan 98 - Mar 09	FFB + L	Keywords	Phrase	0	0	
#15	Wiley InterScience	Jan 98 - Mar 09	FE + L	Keywords	Phrase	0	0	
#16	Web of Science	Jan 98 - Mar 09	FA + L	Topic	Phrase	215	20	
#17	Web of Science	Jan 98 - Mar 09	FFB + L	Topic	Phrase	113	12	
#18	Web of Science	Jan 98 - Mar 09	FE + L	Topic	Phrase	139	2	
#19	British Education Index	?	?	?	?	?	?	



# The Literature: the favourable

- Reactivate/consolidate prerequisite skills/knowledge
- Focus attention on important aspects
- Encourage active learning strategies
- Give opportunity to practice skills and consolidate learning
- Provide knowledge of outcomes
- Provide corrective feedback
- Help students monitor their own progress
- Develop self-evaluation skills
- Encourage self-regulated learning
- Guide the choice of ongoing learning activities
- Help students feel a sense of accomplishment
- Develop deep thinking and deep learning
- Maintain motivation and self-esteem
- Encourage employability skills

# The Literature: the less favourable

- Encourage a surface learning approach  
*Gijbels and Dochy (2006)*
- Promote “assessment careers”  
*Ecclestone and Pryor (2003)*
- Encourage rote learning  
*Irons (2008)*
- Promote overconfidence  
*Yorke (2003)*
- Be unnecessary once the summative marks are known
- Poor achievers are less likely to seek formative feedback  
*Sinclair and Cleland (2007)*
- Be inhibitory to student progress  
*Smith and Gorard (2005)*
- Be underutilised by the learner  
*Higgins, Hartley and Skelton (2002)*  
*Covic and Jones (2008)*
- Be useless without summative assessment  
*Taras (2009)*
- Conflict with learning cultures  
*Davies and Ecclestone (2008)*
- Under-resourced area of higher education  
*Perera et al (2008)*

# Ethical Approval



- National Research Ethics Service (NRES)
- Lengthy
- +/- local R&D Departments





# Key Issues

- Need to look across the spectrum of education
- Considering the same
- Keep to task



# Next Steps

- British Education Index search to complete first literature search
- Ongoing - read and review the literature
- Complete NRES application and submit for approval
- Schedule of work and timeframes

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