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Mapping open access to e-resources workflows

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Investigating new content for purchase

**Hybrid OA**

- Offset agreements / APCs - Offset agreements are intrinsically linked to journal/big deal subscriptions. Therefore if gold OA via hybrid journals is to be used, these journals must be considered as part of the wider costs.
- Total Cost of Ownership - TCO follows on from the above point, if offset agreements are not in place with hybrid OA, then the costs to the library will increase.
- Licences: article licences (OC) / resource licences - Are CC BY licences the default licence? Not all publishers offer this. In the case of the RCUK mandate this is required. This needs to be investigated as part of the initial desk top review.
- Pre-payments for APCs (and other business models) - A one-off pre-payment for APCs may be desired, and could be paid as part of the journal subscription. This may be the only way to achieve an offset agreement.
- OA in aggregator / secondary information sources and impact on subscription - A hybrid journal is not always OA - does the publisher licence content to secondary information sources and if so is the article still OA? For those considering subscriptions to aggregated content - how much of the content is born OA?

**Open Access**

The following relate to validation for OA content regarding collection development.

- Preliminary publishers - Although use of 'Steel's list' is not recommended, checks still must be made: the 3 points below are a good place to start.
  - DOAJ / ISSN ROAD - Inclusion in DOAJ is now peer reviewed and requires a number of checks to be made for each title. A DOAJ seal is also awarded to titles that fulfil all criteria. ISSN ROAD lists DOAJ titles with ISNNs.
  - OAI-PMH / CORE membership - Memberships of either organisation are another sign that the journal fulfils quality criteria.
  - Licensing stated - Again, journals that are open about the licences used are a good starting point.
- Other repositories / CORE - In addition other repositories can be tracked if a knowledge base is used as part of library discovery. It is hoped that CORE may also become discoverable this way.

Preservation

**Hybrid / OA**

- Repositories - An open question - do repositories actually count as preservation?
- Preservation policy - Have OA titles been added to the collection management and development strategy under preservation?
- Shared risk - Is there a shared risk in the fact that lots of copies of the OA articles may be held in repositories?
- LOCKSS / Portico - Has the publisher (possibly university publisher) signed up to one of the various preservation resources? This should be checked as part of the initial investigation.

Cancellation and replacement review

**Hybrid / OA**

- Implementation(s) for OA articles / APC paid articles if a deal is cancelled - Hybrid OA gives further implications than those listed in TERMS if the big deal is cancelled. One could be an increase in APC costs.
- Ongoing OA - If hybrid or fully OA titles are cancelled / withdrawn they need to be kept on record to make sure that they remain discoverable. This ties into ongoing access through preservation (see next section).

Ongoing evaluation and access, and annual review

**Hybrid / OA**

- Does the APC workflow work? - Regarding hybrids, part of the review process needs to include an assessment of whether the APC workflow actually works. Was payment always successful, did the correct licences get added, how staff intensive was the workflow?
- What is the value added by the library / institution? - Following on from the point above, does the title add value? For hybrids, did the cost of the APC add value? For OA titles, were they actually used?
- Dealing with user feedback - Were all issues recorded in the UMM or elsewhere? Were they reported to the publisher and how is this impacting on negotiation - why are you paying an APC? If the wrong APC is applied and the title is not always OA throughout the subscription period?
- Bibliometrics / impact / compliance - While it is possible to measure COUNTER stats for hybrid OA titles - and this needs to be compared with non OA articles in the same journal, OA articles usage cannot always be measured. If not, what other measures are there and can these be used to make decisions about further access?
- Usage: Hybrid journals - Related to both value added and usage, have the hybrid OA articles proved value for money when comparing costs of APCs and usage? Also, after separation of gold open access statistics, do the remaining subscription titles prove value for money?
- Collection review - Like all titles, OA titles need to be pruned to reflect the needs of the collection.