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Leading transformational change in Higher Education: Discussion of literature and conceptual framework.

Kafayat Lamidi 1, Dina Williams 2

1 University of Huddersfield
2 University of Huddersfield

Abstract

Universities are changing and require new leadership approach. The aim of this paper is to review conceptual and empirical literature on the leadership of entrepreneurial university and propose a conceptual framework to evaluate role of leadership at university level. It is grounded in supporting frameworks on entrepreneurial university; the transformational role theory (TRT) a novel framework to explore how entrepreneurial university leaders influence the universities’ performance with emphasis on leadership contribution to the transformational process.

The meta-analysis of existing literature sources provides an insight into the varying roles of leadership between organizations. Leadership in the public sector particularly the higher education institution (HEI) was examined with reference to reports, articles and books published on this research topic between the periods from 19th century to date. These sources were selected based on the issues of the content, context, outcomes of change, process, leadership, organizational and sectorial change (governance arrangements and funding systems). Based on this review, the paper develops a conceptual framework which can be later applied to empirical data.

The originality of the study is in its conceptualizations of transformational role theory underpinning transformational entrepreneurial leadership (TEL). TEL has distinctive features which help create appropriate leadership style in the public sector particularly in considering the unique nature of universities (it governance systems).

Keywords: Entrepreneurial University, Leadership, Transformational Entrepreneurial Leadership, Higher Education Institution, Change and Organisational Change.

Introduction

Most recently University’s functions undergone significant transformation; they are expected to excel in education and research but also promote innovation and entrepreneurship. Universities are experiencing exceptional challenges in reflecting who they are, what they do, how they do it and why they do it. Increasingly, the emergence of the knowledge economy, the development of the information and communication technology, the economic instability, and decrease in state funding have brought new demands on higher education systems worldwide.

The existing literature covers a broad range of areas relation to the configuration and model of entrepreneurial university from re-formulating university mission and strategy and re-aligning
university with external challenges and demands to embedding entrepreneurship education throughout university curricular and developing infrastructure to support graduate entrepreneurship. Yet little attention has been given to HOW entrepreneurial transformation happens and what is a role of university leaders in implementing of this change. Spillane et al (2004) acknowledge that there is little contribution on how education leaders enact changes. Stensaker et al. (2013) and Neary et al. (2011) studies reveal that the academics are restrained in demonstrating their creative and innovative skills to the core functions and restructuring of the university due to the decision-making structures (see also Tierney, 2004; Whitley, 2008; Lamont, 2009). Leadership in public sector differs from the one in private sector. Public sector leadership is characterised by transactional rather than transformational. This paper proposed a notion of transformational entrepreneurial leadership (TEL) that suitable for tackling the changes in HEIs. The paper also identifies the roles of TEL and highlights the key qualities required by academic leaders to lead the university entrepreneurially. Thus, this paper seeks to fill the growing gap in understanding of the role of leadership in entrepreneurial performance of the UK universities.

**Background**

The universities across the world are in transition. The traditional idea of the university as a semi-autonomous institution charged with transmitting knowledge from one generation to the next and creating knowledge for future generations doesn’t address the modern challenges of globalisation, further political and societal pressure. Increasingly universities are being required to operate more entrepreneurially, commercialising the results of their research and spinning out new, knowledge-based enterprises and play an active role in knowledge economy.

In recent years, the concept of entrepreneurial university draw attention of academic scholars and policy makers who are trying to define or/and delineate the phenomenon. There is a growing literature on university and academic entrepreneurship offering extensive meta-analysis of existing literature (Rothaermel et al., 2007) as well as individual models of entrepreneurial university (Guerrero et al., 2012; Kim, 2011; Nelles et al., 2010). Nelles et al. (2010) provided an emergent framework for studying entrepreneurial universities, taking advantage of entrepreneurial architecture. They categorized the elements of an entrepreneurial university in five groups: structures, systems, strategies, leadership, and culture. Guerrero et al (2010) also have shown that using institutional economics and resource based view (RBV) can help comprehend the relations between environmental factors (formal and informal) and internal factors (Resources and capabilities) involved in the transition processes of universities.

The Commission of the European Communities (CEC, 2003) emphasis that universities are key contributors to lifelong learning and in many respects holds the key to the knowledge economy and society (as well as Etzkowitz, 2003). The “obsession of the public sector practice on a dominant managerial principle can be shaped through shared meaning” (Zanetti et al., 2013:128). The European Commission (2013a) in its Modernization Agenda highlights the need for universities to renew their governance systems and develop more flexible financial systems. Shattock (2013) suggests that there is the need to shrink the role of governance and reinforce that of leadership and
management. As supported by Washington et al. (2013) who put forward that it is important to reconceptualise the institutional leaders’ role in maintaining the legitimacy of the institutions. Stensaker et al (2013) in their study of five Nordic region universities confirm that there is need for new types of academic leadership in the higher education and what skills are required. Middlehurst (2013) points out that university need to update their structures and systems in order to meet up with the changes in the sector. We could assert that re-modernizing the governance structure and responding effectively to the demands of the knowledge society is central to leadership function in HEIs and therefore there is the need for new leadership style.

With all up-to-date research, a notable fact is that the quests for new leadership who will reshape the governance systems of the universities and simultaneously responding to environmental requirements need greater attention in the HEIs. Transformational entrepreneurial leadership has distinctive features which help create appropriate leadership style in the public sector particularly in considering the unique nature of universities; it governance systems, “production of scholarship, new ideas and training of elites” (Shatock, 2009:1). This topic is important because universities are playing a fundamental role in reducing the social-economic pressures in the society.

Role of university is changing, this therefore dictate a new format for the universities and the demands for universities to do more. To achieve this, universities from inside out have to change the way they operate. To enact this change we need a transformational leadership in the higher education systems that will respond effectively to the requirements of the knowledge economy. However, if there is no need for change transactional rather than transformational leadership will be effective

This study will be used to develop on King et al. (2005:88) notion that transforming the institution requires “doing your own work”. The analysis is grounded in two conceptions of transactional and transformational leadership. On the basis of organisational theory, the results may support the notion that transformational leadership has a more positive effect on organisational performance and minimise the effects of change and uncertainty (Lowe et al., 1996; Conger, 1999; Judge et al., 2004). This paper will therefore suggest the appropriate leadership style, its contributions and roles to the changes in the HEIs.

The paper uses a framework developed by Pettigrew (1985) and Pettigrew et al. (2001) involving change management drivers supported by Kuipers et al. (2013) to investigate leadership roles and contribution in transforming change within the HE context.

**Review Approach**

The timeframe and research context are selected on the following criteria: firstly, this paper uses the seven change management factors suggested by Pettigrew (1985), Pettigrew et al (2001) and Kuipers et al (2013) to understand the changes within the HEI system and provide solutions to effectively handling the changes, so it searches for literature from the 19th century to date to see input from the perspective of other writers. Secondly, “entrepreneurial university emerges in the late 19th century in the US University” (Etzkowitz, 2003:109). Thirdly, some authors (e.g King et al, 2005) believe that authors of leadership and management take their study at mythical level therefore selection of literature within this timeframe avoid this distortion and in-depth historical aspects. In addition, transformational leadership begins to gain consensus in the 19th century and
predominantly becoming interest area within the education systems in the 20th century. In this regard, it is observed that leadership in the 19th century is more characterised by situational approaches; transactional and transformational leadership (see Table 1 below). It can be deduced that both entrepreneurial university and transformational leadership emerge between the periods of 19th and 20th century and more progressively within the educational system.
<table>
<thead>
<tr>
<th>Authors</th>
<th>Definition</th>
<th>Approach</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stogdill (1950: 3)</td>
<td>Leadership is the process of influencing the activities of an organized group in its efforts toward goal setting and goal achievement.</td>
<td>General</td>
</tr>
<tr>
<td>Hemphill &amp; Coons (1957: 7)</td>
<td>Leadership is considered as the behavior of an individual in directing the activities of a group toward a shared goal.</td>
<td>Situational-Transformational</td>
</tr>
<tr>
<td>Prentice (1961: 143)</td>
<td>Leadership involves the accomplishment of a goal through the direction of people and a leader is one who successfully marshals his/her human collaborators towards the achievement of certain goals</td>
<td>Situation-transformational</td>
</tr>
<tr>
<td>Tannenbaum, Wescrler &amp; Massarik</td>
<td>Leadership is interpersonal influence, exercised in a situation, and directed, through the communication process, toward the attainment of a particular end.</td>
<td>Situational-transactional &amp; transformational</td>
</tr>
<tr>
<td>Stogdill (1974: 411)</td>
<td>Leadership is the initiation and maintenance of structure in expectation and interaction.</td>
<td>Situational-transactional &amp; transformational</td>
</tr>
<tr>
<td>Hollander (1978: 1)</td>
<td>Leadership is the influential process between a leader and the followers.</td>
<td>General</td>
</tr>
<tr>
<td>Katz &amp; Kahn (1978: 528)</td>
<td>Leadership is the influential increment over and above mechanical compliance with the routine directives of the organization.</td>
<td>Situational-Transactional</td>
</tr>
<tr>
<td>Cribbin (1981)</td>
<td>Leadership is an influential process through which managers influence employees to comply with what is required and do well what ought to be done.</td>
<td>Situational-Transactional</td>
</tr>
<tr>
<td>Rauch &amp; Behling (1984: 46)</td>
<td>Leadership is the process of influencing the activities of an organized group toward goal achievement.</td>
<td>General</td>
</tr>
<tr>
<td>Donelly, Ivancevich &amp; Gibson</td>
<td>Leadership is an attempt at influencing the activities of followers through the communication process and toward the attainment of some goal or goals.</td>
<td>General</td>
</tr>
<tr>
<td>(1985: 362)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hersey &amp; Blanchard (1988: 86)</td>
<td>Leadership is the process of influencing the activities of an individual or a group in efforts toward goal achievement in a given situation.</td>
<td>General</td>
</tr>
<tr>
<td>Authors</td>
<td>Definition</td>
<td>Approach</td>
</tr>
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<td>-------------------------------</td>
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<tr>
<td>Hosking (1988: 153)</td>
<td>Leaders are those who consistently make effective contributions to social order, and who are expected and perceived to do so</td>
<td>General</td>
</tr>
<tr>
<td>Batten (1989: 35)</td>
<td>Leadership is a development of a clear and complete system of expectations from the followers</td>
<td>General</td>
</tr>
<tr>
<td>Bass (1990: 19-20)</td>
<td>Leadership is an interaction between two or more members of a group that often involves a structuring or restructuring of the situation and the perceptions and expectations of the people. It occurs when one group member modifies the motivation or competencies of others in the group. Any member of the group can exhibit some amount of leadership</td>
<td>Situational-Transformational</td>
</tr>
<tr>
<td>Cohen (1990: 9)</td>
<td>Leadership is the art of influencing others to their maximum performance to accomplish any task, objective or project</td>
<td>Situational-Transformational</td>
</tr>
<tr>
<td>Jacobs &amp; Jaques (1990: 281)</td>
<td>Leadership is a process of giving meaningful direction to collective effort, and causing willing effort to be expended to achieve purpose</td>
<td>Situational-Transformational</td>
</tr>
<tr>
<td>Conger (1992: 18)</td>
<td>Leaders are individuals who establish direction for a working group of individuals who gain commitment from this group of members to this direction and who then motivate these members to achieve the direction’s outcomes</td>
<td>Situational-Transformational</td>
</tr>
<tr>
<td>Zalenik (1992)</td>
<td>Leadership requires using power to influence the thoughts and actions of other people.</td>
<td>Situational-Transactional</td>
</tr>
<tr>
<td>Jaques &amp; Clement (1994: 4)</td>
<td>Leadership is the process in which an individual sets the purpose or direction for others and gets them to move along together with him or her and with each other in that direction with competence and full commitment</td>
<td>Situational-Transformational</td>
</tr>
<tr>
<td>Kouzes &amp; Posner (1995: 30)</td>
<td>Leadership is the art of mobilizing others to want to struggle for the shared aspirations</td>
<td>Situational-Transformational</td>
</tr>
<tr>
<td>Authors</td>
<td>Definition</td>
<td>Approach</td>
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<tr>
<td>Yukl (2006:8)</td>
<td>defines leadership as the process of influencing others to understand and agree what needs to be done and how to do it, and the process of facilitating individual and collective efforts to accomplish common goals</td>
<td>General</td>
</tr>
<tr>
<td>Mullins (2008)</td>
<td>Leadership is a relationship through which one person influences the behaviour and actions of the other</td>
<td>General</td>
</tr>
<tr>
<td>Rollinson (2008)</td>
<td>Leadership further as a process in which leaders and followers interact in a way that enables the leader to influence the actions of the followers without coercion in order to achieve certain objectives</td>
<td>General</td>
</tr>
<tr>
<td>Northouse (2010:3)</td>
<td>Leadership is defined as a process whereby someone influences others to achieve a common goal</td>
<td>General</td>
</tr>
<tr>
<td>Northouse (2013)</td>
<td>Leadership is a process to direct and manage the actions of subordinates in order to achieve certain goal and innovativeness</td>
<td>Situational-</td>
</tr>
<tr>
<td>Kuipers, Higgs, Kickert,</td>
<td>Leadership is driver of change</td>
<td>Situational-</td>
</tr>
<tr>
<td>Tummers, Grandia &amp; Van Der</td>
<td></td>
<td>transformational</td>
</tr>
<tr>
<td>Voet (2013)</td>
<td></td>
<td></td>
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</table>

*Table 1: Summary of Leadership Definition*
Finally, the field of organization study is extensive, and a comprehensive review would reach beyond this journal’s requested page number. The field entails a variety of specialist subjects such as entrepreneurship, leadership, entrepreneurial university as well as contributions from management study, organization, activity, systems and change management theories. In addition, entrepreneurial studies (e.g Wang et al., 2014) draw on a wide range of theoretical frames (see appendix 1). More so, majority of the literatures were selected from the public sector because the research context require assessing and understanding changes in public services, few from the private, a combination of public and private sectors, and majority of the literature are drawn from the educational perspective in order to ensure that the transformation issues were related to the education context.


Having gathered the list of articles, they were scrutinised in accordance to the requirements (timeframe, change issues), 98 articles meet these requirements and were thoroughly reviewed. Each article reviewed was labelled with one or more of the seven themes (context, content, process, outcome, leadership, organisation or sectorial). The contextual and content issues take into consideration country (referred to a certain country such as the US, UK or a comparative study) and the sector. After the completion of this process, theoretical frame was identified then a summary was devised for each article with emphasis on relevance and insights for the review. At this point, some articles were excluded because they are not related to the research topic and 2 others were included, and leave us with final list of 82 articles (see appendix 2). It was discovered that more than 25 theoretical perspectives were adopted in the articles of which leadership theories was the most frequently used. Other theoretical frames employed include innovation approach, activity theory, action theory, change, cognitive approach, institutional theory, stakeholder theory, economic theory, collectivist approach, policy paradigm, social constructionist, classical theory, bureaucratization and others (see appendix 1).


The summary of the review is depicted in Figure 1 below using the systematic literature review (SLR) process:
The SLR process was conducted to review literature because it provides a clear set of stages that can be trailed during reproduction of the study (Denyer et al., 2008; Thorpe et al. 2006), shows a systematic evidence that support the arguments of the research objectives (Pittaway et al., 2004), and gives a systematic analysis of knowledge generated (Wang et al., 2014). However, one of its shortcomings is that the use of strict key words may exclude relevant articles (Pittaway et al., 2004). On this basis, this paper considers SLR as a guiding technique that allows the researchers to structure and conduct the review in accordance to the research objectives.

**Transformational Higher Education**

To seek an understanding into the contribution of educational leadership in transforming the institution, this paper develop on Pettigrew (1985) and Pettigrew et al. (2001) notion that researchers should investigate how the four change management factors (context, content, process and outcomes) affect the change processes in the public sector. As supported by Kuipers et al. (2013) leadership is introduced as the fifth change management factor and suggests that a focus on values would be appropriate for examining change in the public organisations. Likewise, in the leadership literature, understanding effective leadership requires “analysis of contextual variables and processes” (Rickards et al., 2006:497). Therefore, these forces would be examined in this paper along with the inclusion of two additional themes- organisational and sectorial or institutional change as suggested by Kuipers et al. (2013).

**Seven Change Management Factors in Higher Education**

The contextual theme is considered as the ‘where’ factor that might involve internal and/or external influences. This include variables such as the entrepreneurship education or education reforms (e.g
DfE, 2013); culture, policies and technology (e.g. Rothaermel et al., 2007); endorsement, recognition and reward (e.g. Kirby, 2005); capitalization of knowledge and collaboration with industry, government and other institutional spheres (e.g. Ezkowitz 2004); localization and commercialization (e.g O’Shea et al., 2005 and 2008); management structures, governance arrangement and leadership (e.g. Sporn, 2001); a strengthened steering core, diversified fund-base and developmental periphery (e.g Clark, 1998). Pettigrew (1985) and Kuiper et al. (2013) refer to the content theme as the ‘what’ factor including initiatives, incentives and legislation. For example, contentual issue could be restructuring governance arrangement, leadership role and management structure (e.g Middlehurst, 2013). The process theme focuses on the ‘how’ and ‘why’ factors such as decision-making process, power and politics, an integrated entrepreneurial culture (Pettigrew, 1985; Clark, 1998). The outcome factor involves the “consequences of decisions” (Pettigrew, 1985:21) including “teaching, research and entrepreneurial activities” (Guerrero et al., 2012:47). For example, the HEI is changing in order to have social and economic impacts on the wider society such as university productivity and efficiency in both knowledge and technology transfer. In addition, outcome includes entrepreneurship education to improve students’ skills, attributes and behaviour in order to be critical and creative oriented. Kuipers et al (2013) propose leadership in the change management literature as the vehicle that drives change. Also, some authors (e.g Kotter, 1996) in the organisational change study agree that leadership has great influence in the organisational change process. Kraatz et al. (2002:123) study also confirms that the role of leadership in the organisational change involves “knowledge transfer and inter-organisational learning, introduction of new ideas and assumptions, and replacement of the university values”. This paper evolves round the leadership theme from the transformational view and therefore this will be extensively analysed further in other sections. One of the additional factors this paper introduces is the organisational theme which also describes the ‘where’ factor at organisational or institutional level of leadership roles (e.g. Yokoyama, 2006); internal management structures and decision-making (e.g Middlehurst, 2004); managerial self-governance, seeking partnership with industry and government (Clark, 1998). For example, becoming entrepreneurial university requires changes to the organisational culture; to generate a shared vision entrepreneurial university requires an “entrepreneurial culture and entrepreneurial organizational structures” (e.g Guerrero et al., 2012:46). And finally introduce is the sectorial theme which focuses on the ‘where’ factor at the national level such as technology transfer oriented towards the creation of university spin-offs and stimulated academic heartland (Clark, 1998; Niosi, 2006). In this paper, this is conceptualise as institutional factors. For example, the introduction of various reforms in the public sector particularly within the higher education system has transformed the sector such as sourcing for fund and finances from other bodies rather than solely on the government. These variables are summarised in Table 2 below:

<table>
<thead>
<tr>
<th>Change Management Factors</th>
<th>Defined as</th>
<th>Referenced in existing literature by</th>
</tr>
</thead>
<tbody>
<tr>
<td>Context</td>
<td>Funding base diversification</td>
<td>Clark (1998)</td>
</tr>
<tr>
<td></td>
<td>Networking and partnering with industries, government and other universities</td>
<td>Etzkowitz (2004)</td>
</tr>
<tr>
<td>Change Management Factors</td>
<td>Defined as</td>
<td>Referenced in existing literature by</td>
</tr>
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<td>---------------------------</td>
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<td>--------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Content</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Communication, implementation and incorporation</td>
<td>Kirby (2005)</td>
</tr>
<tr>
<td></td>
<td>Institutional strategies, purpose and goals</td>
<td>Yokoyama (2006)</td>
</tr>
<tr>
<td></td>
<td>Culture, policies and technology</td>
<td>Rothaermel, Agung &amp; Jiang (2007)</td>
</tr>
<tr>
<td></td>
<td>Entrepreneurial activities and culture</td>
<td>Sporn (2001)</td>
</tr>
<tr>
<td></td>
<td>Fees/funding/grants</td>
<td>Middlehurst (2012)</td>
</tr>
<tr>
<td></td>
<td>Power-base and authority levels</td>
<td>Stensaker &amp; Vabø (2013)</td>
</tr>
<tr>
<td>Process</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Human, physical and financial capital</td>
<td>O'Shea, Allen, Chevalier &amp; Roche (2005)</td>
</tr>
<tr>
<td>Outcome</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Extensive research support, performance, efficiency and productivity of technology transfer</td>
<td>Kim (2011)</td>
</tr>
<tr>
<td></td>
<td>Entrepreneurship program</td>
<td>Guerrero &amp; Urbano (2012)</td>
</tr>
<tr>
<td></td>
<td>Capabilities and quality</td>
<td>Middlehurst (2012)</td>
</tr>
<tr>
<td>Leadership</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Leaders, managers and governors</td>
<td>Middlehurst (2004)</td>
</tr>
<tr>
<td></td>
<td>Strategic planning committee, leading administrators, university presidents, departmental heads and senior levels faculty members</td>
<td>Yokoyama (2006)</td>
</tr>
<tr>
<td></td>
<td>VCs, Pro and deputy VCs, registrars and senior executive officers, HR directors, Deans, HoD, faculty managers and leadership development managers</td>
<td>Bolden, Petrov &amp; Gosling (2008)</td>
</tr>
<tr>
<td>Organisational/Institutional</td>
<td>Managerial self-governance, seeking partnership with industry and government</td>
<td>Clark (1998)</td>
</tr>
<tr>
<td></td>
<td>Management structures and decision-making</td>
<td>Middlehurst (2004)</td>
</tr>
<tr>
<td></td>
<td>Leadership roles</td>
<td>Yokoyama (2006)</td>
</tr>
<tr>
<td>Sectorial</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Stimulated academic heartland</td>
<td>Clark (1998)</td>
</tr>
<tr>
<td>Change Management Factors</td>
<td>Defined as</td>
<td>Referenced in existing literature by</td>
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<td>---------------------------</td>
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<tr>
<td></td>
<td>The characterisation of higher education by preservation and dissemination of knowledge</td>
<td>Coaldrake (1999b)</td>
</tr>
<tr>
<td>University spin-offs</td>
<td>Niosi (2006)</td>
<td></td>
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<tr>
<td>Organisational actorhood</td>
<td>Bosetti &amp; Walker (2010)</td>
<td></td>
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<td>Educational reforms</td>
<td>DfE (2013)</td>
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</tbody>
</table>

Table 2: A Summary of the Seven Change Management Factors

Leadership in the flexible-specialised economy

As noted earlier, this paper gives important consideration to the leadership issue. Leadership is a process to direct and manage the actions of subordinates in order to achieve certain goal and innovativeness (Yukl, 2002 and 2006; Northouse, 2013). Leadership can be considered as a transformational process where subordinates are motivated to do more than what is required of them (Shamir et al., 1993; Yukl, 1999; Davies, 2005). Scholars in the organisational change literature (e.g. Kotter, 1996) astutely point out that leadership is a major force in the organisational change processes. Similarly, in the public administration literature, leadership is defined as the driver of change (Kuipers et al., 2013). From these perspectives, it is the process through which activities and people are co-ordinated to achieve a goal.

Educational leadership is seen as a culture of combined attributes because there is opportunity for academics to learn from each other via seminar presentations and others. There is the need for collective intelligence to lead in a rapidly changing and highly demanding society (Brown et al., 2001). As supported by the UK government’s White Paper, Our Competitive Future: Building the Knowledge-Driven Economy (DTI, 1998) knowledge-based economy is more about effective utilisation and exploitation of all types of knowledge in various activity approaches. Similarly, universities need to collaborate with business and industry to develop and apply knowledge for societal gain (Coaldrake, 1999). Bosetti et al. (2010:7) study confirms that universities are autonomous institutions which are “exceptionally significant with the capacity to provide solutions where other bodies cannot”. The knowledge triage of the university is challenged by a broad “value system” such as developing entrepreneurship skills, promoting research, enhancing university-government-industry relationships and embodying an enterprise culture (Peters, 2002; Bosetti et al., 2010:6). Knowing that the external context (such as advancement in knowledge, globalisation and others) is the key factor that threatens the higher institutions’ role in the economy, the learning and strategies aspects of the University should be ultimately focus on the promotion of students learning and university from within and outside need changing to meet the demands of the society (Dearing Report, 1997). Likewise, it is a challenge on HE leadership in retaining and maintaining the university’s mandate.

Transformational leadership in transforming the institution
In decades, there has been extensive growth in leadership from the trait and style theories to situational approaches all of which continue to be grounded on the leader considering that the subordinates are “passive in the leader-follower” relationship (Bolden et al., 2008:360). Between the 80s to date the transformational leadership (TL) emerged as one of the new leadership styles (charismatic, visionary and transformational) suggested by the English researcher, Bryman (1980) as leadership that recognises the need to engage subordinate in the process with focus on the charismatic attribute of the leader (Burns, 1978; Bass, 1985; Yukl, 1999). Zanetti et al (2013) accept that that transformation in the public service requires leadership with the “ability to engage with and persuade others to have interest in the changes” (see also Holt, 2008; Zanetti, 1998:112; King et al., 2005). Therefore, leadership in the public sector is about coping with change (Kotter, 1990) and the leaders must be credible and have adequate knowledge in the process of transforming the University (Gabris et al., 2001; Kavanagh et al., 2006). The inference from this analysis is that as universities are going through a transition period, the transformational leadership style need to be explored.

In addition, Davies (2005) contends that there are various forms of leadership in the educational context. These include ethical, sustainable, invitational, constructivist, political, emotional, distributed, learning-centred or instructional, strategic, transformational and entrepreneurial leadership. From Davies’ (2005) perspective, strategy is associated with wider components of the institution; it does not take into account daily operations. In contrast, Bolden et al. (2008:335) argues that while leadership styles in higher education “constitute various forms”, their practicalities remain under simplified. Also, a review on school leadership by National College for School Leadership (2012) identifies transformational leadership as one of the two leadership approaches considered to be effective in schools. The report confirms that combining transformational with instructional leadership result in effective leadership in schools. In our view, strategic, entrepreneurial and transformational leadership have impact on change and constitute similar elements required for effective leadership performance however, transformational leadership has been proved more effective than other styles in different organizational settings of different countries in the knowledge-based society (Riggio et al., 2012).

Furthermore, employees’ level of job satisfaction is maximised (Hatter et al., 1988; Koh et al., 1995). Transformational leaders have confidence in their employees and communicate high performance expectations of them (House, 1977). These leaders are persistence and demonstrate high level of initiative until the organisational goal is achieved (Eyal et al., 2004). In addition, transformational leaders enhance the “self-concept and sense of self-efficacy” of subordinates by aligning their individual and joint identification with the goals and objectives of both the leader and the organisation thereby stimulating them to work “beyond performance expectations” compare to that of the transactional leaders (Riggio et al., 2012:50; Shamir et al., 1993).

As confirmed in the study of Seltzer et al. (1990:694), transformational leader behaviour using the scale of initiation; provision of information and structure to tasks and the scale of consideration; being open and show concern about employees’ welfare add to the variance of subordinates’ satisfaction and leaders’ effectiveness but a dyadic effect on subordinates’ extra efforts. Principally, the behaviour is concerned with tasks accomplishment and maintenance of good relationship between the leader and the subordinates. Similarly, some empirical studies (e.g Engelbrecht et al.,
2004; Hood, 2003) confirm that the altruistic behaviour and ethically-centred values of transformational leaders produces significantly more positive impacts which in turn leads to a morally institutional atmosphere than other leadership styles. In a different study (e.g Riggio et al., 2012) the effectiveness of transformational leaders on performance has been investigated in various establishments including the educational system (e.g Harvey et al 2003; Tucker et al., 1990), Canadian hospitals (e.g LeBrasseur et al., 2002) and human service organisation in the United State (e.g Seltzer et al., 1990). The results confirm that the relationship between transformational leadership behavioural components (I’s) and subjective indicators (perceptions of subordinates about the leader’s performance) are much stronger than the objective indicators (outcome-based performance such as productivity or quality). Using the Bass et al (2000) Multifactor Leadership Questionnaire (MLQ), the finding supports the notion that transformational leadership enhances positive performance and encourages subordinates to perform at higher levels than other leadership styles. Also, in a Chinese-Canadian cross-cultural study (Zheni et al., 2013:135), it is confirmed that there is a positive relations between transformational leaders and subordinates’ autonomous motivation cross-culturally and higher “collectivistic values” were related to higher autonomous motivation however there is no significant moderation of collectivist values on motivational effect of transformational leaders.

As described in Bass (1985) the behavioural components of transformational leaders involve inspirational motivation, idealised influence, individualised consideration and intellectual stimulation (see below).

Inspirational Motivation (IM): this is associated with the ability of the leader to demonstrate enthusiasm and optimism. The leader converses an appealing vision thereby providing the workforce with sense of belongings and meaning in their job. This is consider as what Thompson et al. (2010) referred to as strategic vision; like strategic leaders, transformational leaders will ensures that the university has a clear direction and resources to achieve its goals. The Idealised Influence (II) constitutes idealised attributes and idealised behaviours (Bass et al., 2000). Leaders with these characteristics acknowledge the moral and ethical importance of key decisions and consider followers’ most important values and beliefs. As accepted by Burns (2005:12) who put forward that leadership is a “moral and ethical territory” suitable for goal accomplishments. In this dimension, transformational leaders give clear “vision and a sense of mission” (Northouse, 2013:191). It is the ability of the leader to behave morally by being good example (role model). It entails that subordinates highly believe in their leader, tend to emulate him/her and strongly connected with his/her identity (Gerbert et al, 2012). Transformational leader transforms subordinates' beliefs, attitudes and values thereby motivating them to perform beyond expectations (Antonakis, 2003; Yukl, 1999). Thompson et al (2010) refer to this as embodying change; related to the strategic leaders, transformational leaders are symbolically highly significant in the change process to be a role model for future strategy. This focuses on the emotional component of the leader (Antonakis, 2012). The Individualised Consideration (IC) describes the leader’s ability of being supportive and encouraging, providing appropriate materials and resources needed for subordinates to carry out their job effectively. This reflects the leader’s coaching and mentoring behaviour (Gerbert et al, 2012). Finally, the Intellectual Stimulation (IS) refers to the ability of the leader to create awareness and challenge subordinates to handle problems in new dimensions (Bass 1985; Kark et al., 2003). Waldman et al (2008) suggest that this behavioural component may enhance the leader’s ability to perform the visionary and change agent roles. It could be assert that this is the degree at which...
transformational leaders energise employees to carry out activities in innovative ways. In essence, TLs use IS to encourage and challenge employees to try new ways of doing things, and think about work-related problems in different dimensions. This is known as pragmatism; similar to the strategic leader, transformational leader is able to make things happen and bring positive results (Thompson et al, 2010).

Inspirational motivation, intellectual stimulation and individualised consideration are associated with communication competence (Flauto, 1994). Most importantly, the ability to engage expertly in interpersonal communication is vital for transformational leadership (Barge, 1994; Lian et al 2012). However, the effectiveness of transformational leaders and performance level of subordinates lies on the integration of these four attributes (Barling et al., 1996; Dvir et al.; 2002; Lowe et al., 1996).

Based on the above analysis, the core principle of transformational leadership is value. Transformational leaders place value on their subordinates that instigate professional and personal development like self-direction, recognition and achievement (Sarros et al., 2001). Shamir et al. (1993) agrees that transformational leaders influence subordinate self-esteem. Transformational leaders empower employees to take responsibilities thereby improving their capacity to work on own initiative and encourage them to be more creative (Dvir et. al., 2002). However, these leaders are self-protective by ensuring that their own and their subordinates security and safety is guaranteed.

Of all the authors (e.g Burns, 1978; Bass, 1985; Avolio et al., 1999; Bass et al., 2000; Groves et al., 2011) who have attempted to distinguish between transformational and transactional leadership styles have detailed the differences in the change-oriented leadership literature. Transformational leadership is a values-laden influential process in which values messages are convey between the leaders and subordinates while the latter is a transaction-based leadership that reward subordinates for compliance (Burns, 1978). For Bass (1985) transformational leadership transforms subordinates’ individual values to collective values which support the organisational vision whereas transactional leadership involves exchange process in which the leader rewards the subordinates in ex-change of their performance. In the event of enhancing socio-economic changes trans-formation leadership style is considered most suitable while that of transactional is appropriate for creating substantial organisational change (Avolio et al., 1999). Bass et al. (2000) add that transformational leadership is characterised as idealised attributes, idealised behaviours, inspirational motivation, intellectual stimulation and individualised consideration while transactional leadership is based on “contingent reward and management by exception” (as well as Onorato, 2013:42). Some authors (e.g Avolio et al., 1988; Barling et al., 1996) add that the skills and behaviours of transformational leadership can be developed. In addition, Rickards et al (2006:86) argue that transactional leadership is considered as exhibiting more transformational behaviours and not showing “pure transformational style”. In comparing the two styles, transformational shows some elements of transactional style while the later does not have any element of the former. De Luque et al. (2008:633) point out that stakeholder values of the transformational leadership stimulate “long-term” vision and ethical values while the economic values of the transactional leadership emphasis short-tern vision. Groves et al. (2011) study confirm that transformational leadership is associated with leader stakeholder values while that of transactional is leader economic values. Transformational leadership is becoming grounded in ethical and moral aspiration as it enhances the level of the individual conduct of both the leader and employees (Burns, 1978) while Transactional leadership entails the “exchange” relationship between leader and subordinates to tolerate each other self-interest (Rickards et al., 2006:84).
Despite these differences, both leadership styles are “value-centred” leadership that reflect best leaders’ style (Grove et al., 2011:1; Rickard et al., 2006) but in different ways. The British researchers, Rickards et al. (2006: 86) point out that transformational add to the effectiveness of transactional leadership and does not “replace” it therefore both are best leadership styles. These differences are summarised and presented in Table 2 below:
The inference from the above Table is to establish factual claim to support the proposed framework. It can be deduced that transformational leadership style is well suited to respond to the on-going change in the public sector specifically within the higher education system.

**Public vs. Private Sector Leadership**

Leadership in public sector is significantly different from leadership in private sector. “Contextual complexity” has greater influence on the significant differences between leadership in different organisations (Brunner, 1997:219). Baliga et al (1988:130) contend that organisational and environmental contexts; “culture, structures, levels of discretion, types of opportunities, types of problems and missions” are contributing factors that distinguish one organization from the other. In addition, researchers of administration and management studies (e.g Kuipers et al., 2013; Hooijberg et al., 2001; Leithwood, 2003; Orazi et al., 2013) identify the differences to include environmental forces, nature, structures and processes of organisations which in turn affect how leaders lead. With this, leadership in the public sector is gaining major contribution in the public administration leadership literatures (Orazi et al., 2013). It can be asserted that leadership in private and public sector is influence by their nature of businesses. Contrary to private sector leadership, leadership in the public sector is characterised as bureaucratic leadership; more responsibilities and high level of autonomy (Van Wart, 2003). From the same perspective, Pedersen et al (2008:327) describe leadership in the public sector as “centralisation of authority” which counter-balances the management opportunities.
Considering the environmental characteristics, in the United State (Northern American), leaders in public sector such as educational organisation (such as the university) are characterised by self-transcendence value and higher importance to openness to change than leaders in private sector (Johnson, 1998). Also, leaders in public sector are altruistic and service oriented (Kempton et al, 1995; Westley, 1997).

Besides, public sector leaders are more adaptive and innovative oriented because the organisation's goals and objectives are more vague and intellectual than their counterpart (DiMaggio et al., 1990; Howard et al., 1995). These leaders are characterised by having overarching goal towards social and economic developments (Devall, 1991; Mitchell et al., 1991; Sale, 1993). In addition, developing external relationships and influencing stakes is the main focus for public organisations particularly the American universities. More recently, these organisations are developing working relationship with businesses and government agencies while in private organisations there is equal balance between activities (Dunlap et al., 1991; Howard et al, 1995). In essence, leadership in public sector is more about creating the greatest good for the greatest number of subordinates while firmly committed to the organisation’s goal.

Form the above analysis, leaders in the public sector must behave as transformational leaders (Orazi et al., 2013). These leaders are characterised as being charismatic in their individual and active style of influencing subordinates (Bryman, 1992). These leaders are not directive in order not to affect their disposition. In essence, they generate enthusiasms, open problems to new options and approach issues in new ways. Where there is great opportunity and rewards, these leaders work from high risk positions (Egri et al., 2000). These leaders use intuition and empathetic to relate with their workforce (Zaleznik, 1977). In the course to achieve organisational success, transformational leaders articulate an appealing vision (Portugal et al., 1994). Moreover, Pawar et al. (1997) point out that transformational leaders use simple and adhocracy structures. With these structures organisational vision emerges from the leader and subordinates identification of the leader’s vision facilitate its acceptance. That is these organisational structures aid innovation and receptivity to organisational change thereby staff cohesively work as a team (Egri et al., 2000).

Current Higher Institution Issues and Solutions

Scholars of leadership literature (e.g Bass, 1985; Henton et al., 1997) acknowledge that the complexity of leadership is due to the emergent of shared-vision in the twentieth century, increasingly working in a knowledge environment and the growth in the range of leadership skills required within public firms including the education systems. The education system is currently undergoing significant change, with a lot of institutions looking closely at how they can strengthen their partnerships with industry, government and other schools. A major emerging area is that many universities are recognising that they could do more and work better by establishing relationships with others. For example, drawing on the experiences and expertise of people from different or similar areas is a collection of ideas and knowledge that could enrich effective working practices which are mutually respected and supported. This section therefore addresses the central issues facing the HE and academic leaders.

The issues about university sustaining in the knowledge-based economy include: Choice and organisational identity, reforms and change, transforming management structures, new
leadership/management responsibilities, power and authority, redesigning governance and leadership, control and strategic autonomy. This section of the paper provides an overview of these issues and implications for leadership practice in the higher institutions. It should be noted that some of these subjects are not completely separate; some mediate into the other. For example, control of strategic autonomy and changes to the governance arrangement are central to leadership function. Therefore leadership in higher institutions must to be stronger.

Outcome of Fees and Funding in Higher Education (HE)

The major consequence of the economic recession on higher education is on tuitions and funding. Globally, there is increase in educational cost which “affordability become challenge” for both students and their parents (Educause, 2010). As a means of financial support most institutions in England are providing scholarships in various form ranging from 25%, 50% to full fee-waiver (100%) but the inability of the opportune students to progress successful become a cost to the university then a challenge for both academic leaders and the education system. This is used as a mechanism to challenge competition among universities. However, investments in education have a long-term benefit for both students and for the economy, and need to be more efficient in order to improve outcome and increase productivity (OECD, 2008). With this support, UK HE provides “direct in-out benefits to the economy through the production of additional skilled labor force” (Esson et al., 2013:406). Despite the increase in tuition and fees, the number of graduates continues to outgrow. For example, statistics from OECD (2013:2) reveals that over the past decade the post-secondary UK graduation rate rise from 42% in 2000 to 47% in 2005 and 51% in 2010 to 55% in 2011, the second highest after Poland and above the OECD average of 39%. Also age between 15-29years are facing tough transition into higher education and the labour market, and between the periods of 1999 to 2008 there has been 25% rise in the number of undergraduate students in the UK HE institution (OECD, 2008 & 2013). The message from the figure is that the demand for education will continue to rise due to high demand of skilled work. Also, the data prove the expectation that the rise in tuition will lead to a decline in number of student and rate of graduation wrong. The challenge for university and leaders is the capacity to meet student expectations and satisfy their experiences. To satisfy the demand for more educational need, institutions are sorting for new funding sources in order to respond to the rapid growth in student numbers and utilise the resources available the educational system must be re-invented to reflect flexibility in the quality of schooling (OECD, 2008).

Efficiency and Productivity Challenges

Students from across the globe are attracting to study and live in the UK with a number of factors contributing to this including, globalisation, growing population, national policy and many more (EC, 2012). Significantly, international students contribute to this output in Britain with an increase from 10.8% in 2000 to 13% in 2011 of the UK non-European students (OECD, 2013). Universities are taking various measures such as finance and human resources to maximise efficiency and reduce costs. Increasingly, as the number of students rises, universities are considering which key services are to be supplied and delivered on campus and which are to be efficiently resourced through “contractual dealings” with other institutions or corporations within or outside the country (Educause, 2010:4). For example, the continuous increase in the number of international students particularly from Hong Kong lead University of Huddersfield to outsource teaching services in Hong Kong making use of the same staff rather than recruiting all new staff to work over there. This save
Reforms and change: implications for leadership and management responsibilities

Drawing on the postmodernism school, government is taking the lead in the transformation of public service especially the University with its various educational reforms; funding, curriculum and governance reforms. There are three reforms of paradigms identified by (Benington, 2007:328) namely: the “old public administration, new public management and networked governance”. These paradigms are interconnected and form the basis for leaders’ behavioural aspects and decision-making which in turn influence the operating system of the sector. These reform paradigms have significant implication on leadership. For example, with the funding reform, university must support government to ensure that students have wider access to education.

Governance and leadership: Implication on Collaboration

Governance is an approach to governing (Stimson et al., 2006). In this context, it is the way by which universities are controlled and managed with a structure that outlined the actions, rules, policies and procedures of decision-making. The growth in “economies complexity” at various levels has given rise to the importance of governance in today’s world (Karlsson, 2012:9). Increasingly, organisations are building working relationships with one another specifically; with knowledge-intensive institutions like the University for ideas and contributions to strengthen their capacity in order to overcome the challenges and become more creative and innovative. Having an efficient governance to support collaboration between university and industry become an issue for both educational leaders and their institutions. In essence, the governance systems need changing to provide suitability for continuous adaption and gain a long term change. The implementation of various reforms differs between countries so is the governance changes implementation differs between institutions (Whitley, 2012). Most importantly, public organisations are places for change and to successfully implement the changes it requires an incremental transformation (King et al., 2005:89). In essence, becoming an entrepreneurial institution requires time; “sets a frame of reference” for what the transformation entails and how it will be pursued therefore, University need to take things one step after the other (Pettigrew, 1985:1). For effective leadership practice in redesigning the governance structure, the concept of Theme-Centred Interaction (TCI) can be adopted to open space for a fairly tightly integrated system to facilitate resolution. TCI aid a cooperative working relationship regardless of environmental conditions severity. The concept is suggested because various educational reforms (curriculum, funding and governance) are currently being pursued in school establishments and decision is made within internal and external borders. The application of the TCI concept will facilitate changes from within and outside the University. It is to enable the achievement of a balance between intellectual and emotional involvement (Cohn, 1975). As profiled in King et al (2005:97-98) in the topic ‘Transforming Institutions: Insider/Outsider’, the effectiveness of the “TCI concept” is tested. It worth noting that transformation was successful from outside the organisation than from within because of the
bureaucratic process therefore educational leaders need to encourage active partnership with all stakeholders.

From the above analysis, it can be recommended that the five leadership practices proposed by Kouzes et al. (2002) are essential aspects of TEL. These include:

1. Challenging the process: educational leaders must have the capability to work through the process, reason creatively and critically to process and utilize the knowledge economy.

2. Motivating a shared vision

3. Encouraging others to perform: must have the ability to make changes, be able to share ideas with others

4. Building a supportive environment

5. Leading by example by being a role model

Building on King et al. (2005:88) notion of “doing your own work” educational leaders are transformational in their approach to work. The novelty of King and colleague’s work in the public administration literature is that they use critical theory to investigate and profile the experiences of public sector leaders to offer solutions to the problems in public organisations demonstrating the theory in practice. Though their work uncover the socially constructed practices in the public sector this project is distinct in its conceptualisation of the TEL; most appropriate style to lead transformational public institutions, a notable contribution in the leadership and management literature. Drawing on this notion, academic leaders’ work involves a unique combination of both individual and organisational commitment. They are not only performing their academic purpose but also working towards the transformation of the University. In addition, every academics is an individual who sees him or herself as part of the system and would like to be part of the whole transformation process, having this awareness generate the feeling and thinking about having the capability, independence, autonomy and power to do their job. Also, considering that we are in the historical era, people’s expectations, perceptions and assumptions about the university governance and administrative systems is commitment to high standards which is measured through efficient performance. This requires academic leaders to have the attitude of doing their own work and be part of the whole governance and bureaucracy process. From this view, to generate more “public value” leadership in HEIs should be tailored towards the fulfilment of both institutional and legitimate mandates by serving the public interest in a flexible-specialised society (Moore, 2000:).

Some authors (e.g Graham, 2007) contend that academic leaders can achieve a distinctive leadership practice by not only working towards the implicit values of others but also facilitating clarity about their own personal values. In this regard, value is important in educational community and educational leadership because it is central to decision-making. Agreeably, articulating value on the individual and organisational side is essential for effective educational leadership practice. In this sense, it is crucial to reinvent governance to adapt to the bureaucratic process this would allow the University goal to be aligned with stakeholders’ interests and priorities. Ultimately, academic leaders must have the capability to govern in spite of various policies with the attitude of being open to new issue.
Power and authority influence on strategic autonomy

Power has different meaning in various disciplines. In a general analysis, Burns (2005:11) contends that from the economics perspective, it can be measure as control of prices or production, from the historical perspective it is the activities of the rulers, from political point of view it is electoral votes and for psychologist, it is the impact of leaders on followers driven by their own motives rather than by quantities (such as monetary values). Kanter (1979:66) define power as “efficacy and capacity” that enables organisation to achieve its goals and a device for effective managerial behaviour. Increasingly, there can be the acquisition of functional productive power through empowerment towards the achievement of organisational goals by the leaders. Pfeffer (1992:14) points out that power is a “potential force” and one of the concepts in understanding the behaviours in organisations which could be rules, procedures and behaviours that are clearly set out as standards of the institutions that gives an individual the capability to achieve established goals and influence others (as well as Allen et al, 1983; Pfeffer, 1981; Salancik et al, 1977). As supported by Mintzberg (1983) control of organisational decisions and activities are influenced by various “organisational actors” with their different individual expectations (see also Pfeffer 1992:340). Therefore, understanding the stakeholders’ interests (impacts and influences) on the University is fundamental. In this context, there is the need to consider the external coalition; comprises of the government, parents, students, partner institutions, suppliers, and general public and the internal coalition which constitute the staff. On a common ground the degree at which each individual exercises their power reveals in the university identity. For example, the government finance majority of fund in the UK HEI and have high expectations in the quality standard of teaching and research activities of the university.

Generally, the government interventions such as policy and legislation of the governing body have impact on the control of universities. Similarly, control and strategic autonomy have influence on leadership practice; the leaders’ power and authority. The extent to which academic leaders carry out their responsibilities is greatly influence by the decision of the project leaders (Neary et al., 2011; Whitley, 2008). The autonomy level of the university need to be encouraged; universities must be more independent, flexible and transparent to the societal needs and these would allow educational leaders to act within their authority and exercise their legitimate power.

The modern structural theories view power to be the same as authority and define power as the use of formal authority to accomplish organisational goals (Peter et al., 1962). In contrast, other writers (e.g Graham, 2007) distinguish between the two concepts by pointing to the value issues. Educational leaders lead because they are able to exercise some legitimate power (exercising leadership on role and position basis) which is “value-neutral” and is clearly recognised by subordinates as having the authority over them (p.46). The power and politics theories argue that power include control over scarce resources, ability to work the organisational rules, credibility, access to others perceived to be powerful, a centre place in a potent coalition (Shafritz et al., 1996:353). From the theory of organisational power and politics perspective, organisations are complex systems that consist of people and coalitions with their various beliefs, values, interests, perceptions, preferences and views (p.352). The value concept will be examined later. Contrary to power and politics organisation theory, the modern structural, organisational economics and systems theories view organisation as establishments whose organisational behaviour and decisions are directed towards achieving recognized organisational goals. From this end, power is the ability to influence other while authority gives leaders the power to exercise control over their subordinates.
The power and politics school (e.g. Kotter, 1985) asserts that in the current business domain there is a gap between the power required for a job and the power ascribed (authority) to the job. Similarly, power for an accomplishment is contingent upon what is to be achieved (Cohen et al., 1974). Cohen et al. (1974) study reveals that universities have unrealistic goals and little knowledge about the responsibilities of the people involved. In essence, the study of leadership styles and traits will not determine the effectiveness of the leaders but rather understanding the power based (Kanter, 1979:66). We could conclude that apart from the expert power ascribed to the educational leaders’ roles, there is the need to entrust on them the productive power to accomplish tasks to boost their creative and innovative skills. With this we could draw on the TEL sources of power which will be examined in detail in the next section.

TEL Power Source: integrating the work of Kanter (1979) and Holt (2008) there is two major sources of TEL power; the productive and integrative power respectively. The productive power: Kanter (1979) highlights that productive power is the functional ability of the leader to inform, supply, and support employees by providing them the required resources to get things done. This power allows leader and subordinates to have the capacity to act and embark on productive activities (Kanter 1997). From this definition the bases of productive power as noted in (Kanter 1979; 1997) are (i) supply power- the ability of the leaders to establish commitment to agreed goals and organize resources including materials and people (ii) informative power: the ability to communicate a clear vision and equip subordinates with latest information (iii) supportive power- the capability of the leader to create a supportive environment that would encourage and motivate the staff to innovate and try new ideas without fear of reprisal. This is the ability to reinforce and encourage high expectations. The productive power enables the leader to achieve positive and high performance.

The integrative power: as noted in Holt (2008) study, TEL must have the functional ability to work effectively with people inside and outside the University. These leaders must have the ability to negotiate and persuade stakeholders to show interest in the change. Sources of integrative power include (i) alignment power: the ability to align the University with other progressive organisations including profit and non-profit firms. This is the power to establish partnership (ii) co-operative power: the ability to employ the collaborative effort of the people involved. These leaders must be able to build strong and positive working relationship with staff and students (Brundrett 1999, Kotter 1999:124) (iii) collective power: the ability to influence and transform individual interest into a joint perspective. (Kanter 1997:131) add that the leader must have the ability to convert individual competence into organisational competence. This power allows the leader to exchange knowledge which transform into shared value and shared knowledge for the University and organisational power grows when shared (Kanter 1997).

Like organisational power, it could be suggested that the two power bases identified above is transformational power which would enables transformational entrepreneurial leaders drive the organisation forward in the period of change. As exemplified in King et al. (2005:117) transforming organisation requires the leaders to be extremely “relational and productive”. It is suggested that educational leaders need this power along other sort of authority to lead in a knowledge-based society.

Choice in Education and organisational identity

Unlike the classical school that view organisations as static structures, the organisations and environments school contend that organisations continuously move from one state of dynamic
equilibrium to another rather than being stagnant (Shafritz et al., 1996). Universities have choice of becoming entrepreneurial in different ways; University gain its own direction and independence even though the government fund it, it set its own priority. In the 18th century hardly can university be independent but now university is gaining freedom by moving out from a traditional teaching institution to play a prominent role; they are now gaining some independence. Also, university becomes the knowledge circle as it begins to establish the transfer process joining with organisation in its region thereby creating interaction with its environment. Drawing on this, university has choice to transform the bureaucracy of its structure and do things differently; however, it requires strategic thought and actions (King et al., 2005). Similarly, universities need to enhance student experience by providing options on what to study and where to study. In this context, student ownership is argued for in order to allow student take responsibilities of their own learning.

**Local and Regional Relationship**

Universities are integral part of their environment that must adapt and adjust to environmental demands for survival and in turn their decisions and actions influence their environments. As such universities need to operate an open system and establish foundations to strengthen their external relationships (Katz et al., 1966). There is the need for universities to be more flexible closely integrated and coordinated to maintain a constant relationship with their external environments. In addition, HEIs must respond in a non-bureaucratic mode to societal needs. University need to embed in its system the voices, beliefs, and experiences of others by engaging the community. Also, university leaders need to strive for national leadership recognition and awards to create awareness of their contributions and impacts on universities which in turn could lead to recognition for the institution. This will motivate all university leaders to be entrepreneurial in their leadership approach. It worth noting that the need for individual recognition can never be satisfied but it is fundamental for everyone to work towards the organisational goal and as such would like to feel proud about their institutions, be recognised with such establishment thereby having impact in its identity.

Though various literatures acknowledge the need for collaboration, the education system is one of the complex organisations which survival is the goal and relationships (Shafritz et al., 1996). Considering the concept of organisational identity, Universities are not independent institutions as they are conditioned by other organisations and publics. From the institutional theory perspective, beliefs about institutions emerged from and influenced by various actors and forces such as professionals, parents, government and general public. It could be seen that there is still some elements of power as influential variable within this context. As exemplified, universally, university differs in relations to their funding source, governance reforms implementation, strategy and capability which in turn characterises their identity. For instance, the so called “Hollow” type universities have limited control over resources not to mention being decisive (Whitley, 2012:498). Therefore, effective leadership practices need to be centred on the notion of driving change in the sector and building relationships on the basis of trust. Increasingly, coping with the changes at both organisational and sectorial level of the educational system require effective leadership (Yukl, 2010:508). We could assert that leadership is effective mechanism for these achievements however it requires planned and controlled actions. Likewise, the activities that keep the system stronger regardless of any environmental pressure must conform to the norms and social expectations of the institutional environments.
In addition, as universities compete for students, parents and students have a variety of choices. Increasingly, institutions are experiencing a lot of pressure from their customers. Shafritz et al. (1996) study shows that competition is a powerful motivation that drives institutions to become the University of Choice thereby increases their level of performance. It could be asserted that to attract an adequate number of students, universities must run programs or courses that students wish to study and/or encourage students to dictate the teaching method they prefer.

It can be deduced that the success of entrepreneurial universities is greatly influenced by established goals, effective collaboration, and cooperation of internal (staff, students) and external (government and cooperate business) stakeholders. In essence, these people’s attitude, behavior, and perception must be shaped and modified towards the goals to become entrepreneurial.

Bureaucratic structures and transformational entrepreneurial leadership

Public organizations are characterized as a mechanistic management system with hierarchical structure of control, authority, and communication in which activities and behaviors are regulated by the decisions of those with greater expertise (Burns et al., 1961; King et al., 2005). In addition, public sector leadership and management are administrative and bureaucratic respectively (Van Wart, 2003). Similarly, University management structure is bureaucratic with administrative leadership which authority is “rational-legal”; a position of authority acquired through qualification (Graham, 2007:47; Hodgkinson, 1991). For decades, the fixation of this structure remains the same while there are changes to its environment. Such a system would not enhance creativity and innovation within educational systems. (Kanter 1997) points out that bureaucratic hierarchical systems hinder interaction and relationship between people and activities. In essence, bureaucracy would not facilitate the implementation of entrepreneurial activities and transformation. Generally, most public organizations are experiencing this similar issue because they have the same structure. For example, Shafritz et al. (1996) study revealed that the novel thing University can do is to give students the opportunity to exercise influence over key decisions that affect their learning activities and not to see them as customers with little input in the bureaucratic process. In this regard, provision and access to modern learning technologies should be available for students to facilitate and enhance their enterprise and entrepreneurial skills and development.

A key attribute that distinguishes public organizations from those of private is their approach to work, they are committed to work in the interest of the public in “consistency to democratic values” (Denhardt et al., 2002:6). Though public organizations have different customer-based, they still take the same working approach. Drawing on the structural contingency theory, university should be a place where attitudes can be developed and modified which give people sense of responsibilities and accountabilities (King et al., 2005). With this, the university system needs to be structured to work for the will of the people. This requires that it is essential for the leaders to lead by example showing commitments to transparency and communication in order for transformational activities to have the potential for occurrence in the normal way. In essence, these leaders must make themselves available to people, listen to others and accept criticisms. In the same sense, the University system also need to operates and functions at the choice and desire of the societal need.

Furthermore, it can be suggested that to achieve a favourable performance in an entrepreneurial university, every individual must be able to feel and have the belief of what is called ‘we all are in’ rather than an isolated ‘I’; a system where people opinion is respected and incorporated supported with a reward system on the basis of value derived from performance (King et al., 2005). As noted
earlier, bureaucracy is a constraint to creativity, innovation and successful implementation of change. It implication on effective leadership is the fact that it is the first point of resisting change. People become resentful to change because they are accustomed to certain ways of doing things and creativity is essential for the development of knowledge and change (King et al., 2005). To reduce the tensions of bureaucracy, as suggested in previous section, the Theme-centred interaction (TCI) idea may be employed into educational leadership. The practical application of the concept of TCI is aimed at providing support to the leaders by empowering the subordinates to have the feeling of more autonomous power within them (Cohn, 1975). Ruth Cohn in her German book titled ‘Psychoanalysis Theme-Centred Interaction’ published in 1975 introduced the concept to contextualise the relationship between the individual, organisation, activities and their environment. The balance between these variables is a potential for innovation and creativity which makes it a useful tool in the educational settings and leadership practice. Though the concept is widely used in the Humanistic Psychology and Education disciplines, it can be redesigned in social sciences as art of leading. It can be considered as a device to structure organisation for innovative purpose. With this, a collaborative working environment is encouraged leading to the creative use of resources (such as people and materials) then there is potential for more creativity.

The modern structural organisation theory concludes that at least a most suitable arrangement in light of the societal forces that will enable more involvement of and dependence on employees to define and redefine their positions and relationships (Shafritz et al., 1996). Theories of organisational culture and change assert that flexibility is constrained by organisational culture which basis of power is authority, hierarchical form, and dependence on rules that can obstruct lasting change. From this perspective, running the institution on a bureaucratic structure can deter the University from embarking on changes required to respond to the knowledge society. Bureaucracy system is suitable in a relatively stable environments therefore considering that institutions are continuously changing we could argue that there is the need to alter or modify this structural pattern. However, it should be noted that the organic structure is not argued for but the need for collective intelligence and decision-making at all level within the university is crucial.

Drawing on the contingency theory of organisations, university need to be open to environmental influences and similarly leadership effectiveness depends upon setting or situations (Thompson, 1967). Since no organisation exists in isolation therefore to survive HEIs must be structure to ease the acquisition of resources (Salancik et al., 1977). The resource dependence theory asserts that universities exchange resources with their environment as a prerequisite for survival. For example from the view of the institutional theory, majority of the environmental factors are subjective to socio-cultural challenges rather than efficiency and effectiveness of values to a prescribed structural form. Effectiveness of a leader is contingent on the match of leader’s style to the situations. Some scholars (e.g Galbraith, 1973) agree that it is important to organise the structure of an organisation in relation to its environment when considering organisational performance.

Other researchers (e.g Hersey et al., 1977) argue that more attention should be given to the employees’ willingness towards the accomplishment of the leader’s visions. In essence, for the staff to be transformed into innovators and entrepreneurs as the University is becoming entrepreneurial, the system must be flexible and adaptive. In addition, to maintain strong relationship with business and government, the university must continuously support and exchange ideas. Therefore, operating in highly bureaucratic and hierarchical structure stifles collaboration and engagement with these bodies. It could be draw upon that leadership effectiveness is contingent upon both the activities and
environment of the organisation (Stogdill, 1974). Spillane et al (2004) study reveals that organisational arrangements are designed and re-designed on the basis of leadership activities. Therefore, considering the new demand on HEIs as key actor in the society coupled with the intense competition among universities in becoming entrepreneurial, the TEL is proposed. On this basis, the TEL is introduced as effective practice that will enable universities to respond effectively to the changes.

Based on the empirical and theoretical study reviewed above, it could be suggested that the followings are key qualities of TELs for leading an HEI:

1. Ability to influence and actively engaged
2. Ability to coach and mentor
3. Ability to adapt to unpredictable situations and take on challenges
4. The leader must be supportive
5. Such leader must be self-confidence and belief in his/her people
6. The leader must have sense of humour
7. This leader must be expose to new things and be able to do things in new ways by having the power to innovate in order to perform better
8. The leader must be tolerance and value-oriented (appreciate others)
9. H/She must be able to communicate a shared vision and shared value
10. H/She must be decisive
11. H/She must be highly committed to the organisation by not only fulfilling their professional roles but having intense consideration for the university.

In addition, TEL could perhaps consider the four core skills proposed by Bennis et al (2002:45) which are:

1. The ability to adapt to changing context
2. Ability to influence and engage other people in shared vision
3. Ability to negotiate and persuade and
4. Having a moral and ethical behaviour (trust and honesty).

**Conceptual framework**

In the conceptualisation of our framework, transformational and entrepreneurial leadership components are integrated. Having analyzed in detail the transformational leadership concept, the entrepreneurial leadership (EL) concept will now be review. Van Wart (2003:217) asserts that entrepreneurial leadership is a process that enables leaders to execute "practical process and cultural changes" which increase production and enhance quality. Entrepreneurs drive innovation and exploit opportunities by employing the “aspirational” style (Greenberger et al., 1988; Thompson, 2003:415). The behavioural component of EL include organisational innovativeness, pro-activeness and risk-taking (Covin et al., 1988; Eyal et al., 2004; Gupta et al., 2004; Sharma et al., 2011). In
addition, entrepreneurial institutions facilitate collective activity through social interaction between the people in order to encourage a shared understanding of the activity (Easterby-Smith et al., 2000; Jones et al., 2006). Thompson (2001:418) contends that entrepreneurs, entrepreneurial leaders and enterprising staff have “ability to balance” the charismatic and architectural roles in order to effectively manage opportunities and risks. Since entrepreneurial skills and attributes are becoming essential for tackling challenges and uncertainty, entrepreneurial leaders must therefore be self-confidence, prepared for change, creative and be able to see things differently (Egri et al., 2000). In this sense, entrepreneurial leadership shares the same attributes with transformational leadership by performing the charismatic role as depicted in figure 2.

From the above diagram, by transforming subordinates’ interests, the leader is transforming the behaviour, attitude and orientation of the people. The above framework integrates the core skills, attributes and behavioural components of the two concepts to establish a fit for transformational change. Before writing about a concept there is the need for a criteria and definitions therefore TEL is a concept use to describe leadership of change, creativity and innovation (see figure 3 below). On this basis, such leaders are role models through their commitment by modifying own and others’
behaviours, providing the whole institutions detailed rationale about the change, able to handle uncertainty and sensitive to others.

Figure 1: Selection Criteria of TEL Concept

The diagram is represented in a pyramid to show the significant of change. The transformation of the HEI involves an enormous process of various activities such as drawing on the creative skills, ideas, knowledge, expertise and experiences of people to produce exceptional results. It is therefore conclude that this paper argues for leadership of change, creativity and innovation.

Conclusions and recommendations

Leadership in entrepreneurial university is becoming an important research area at the interface of entrepreneurial and leadership studies. This paper has suggest a new leadership style that tends to be ‘most appropriate’ in leading a transformational change. However, it can be applied in different context. From the above analyses, one could assert that for university leaders to become entrepreneurs they need to demonstrate two competencies: Firstly, innovation and adaptability- they need to be extremely innovative in order to keep pace with changes. As well as be very comfortable with rapid changes and competent in adapting to these changes. Secondly, productivity and profitability- this require that university leaders must have a leadership approach that generates superior performance, continuity and efficiency. Notwithstanding, University leaders’ contributions towards the university success is to sustain the university’s current performance and guarantee it future performance. In this sense, University leaders encourage others to work cohesively and effectively to reflect the entrepreneurial culture of the University.

The paper concludes by suggesting areas for further research on the configuration of university, thus:

Further research on entrepreneurial university could be extended in the impact of entrepreneurial university on economic growth and development. Also, there is no clarity in the definition of entrepreneurial university. Another interesting area for further research is to set criteria on evaluating universities’ key performance indicators (KPI) and a study on the assessment of the criteria used to measure entrepreneurial university is required.

Reference


Appendix 1
Not all the publications included in the review are in this Table. For complete list of all publications please see the list of references

<table>
<thead>
<tr>
<th>Authors</th>
<th>Year</th>
<th>Theoretical Perspectives</th>
<th>Journal</th>
<th>Methods</th>
<th>Sector</th>
<th>Country</th>
<th>Databases</th>
<th>Time Horizons</th>
</tr>
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<tbody>
<tr>
<td>Avolio, Bass &amp; Jung</td>
<td>1999</td>
<td>Leadership theories</td>
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</tbody>
</table>
Leadership theories, attitudinal changes

Applied Psychology
Quantitative
Private
Canada
EBSCO HOST
Cross-sectional
Bolden, Petrov & Gosling
2008
Leadership theories
Higher Education Quarterly
Qualitative
Public
UK
Policy paradigm, Educational & Economic change, Higher Education Management
n/a
Public
Australia
ERIC
Not given
Conger
1999
Leadership theories, Institutional theory
The Leadership Quarterly
n/a
n/a
US
ScienceDirect
Not given
Covin & Slevin
1988
A relational perspective of organisational structure & entrepreneurial orientation
Journal of Management Studies
Quantitative
Private
Cross-sectional
De Luque, Washburn, Waldman & House
2008
Stakeholder theory, Economic theory, Social Science theory, leadership theories
Administrative Science Quarterly
Quantitative study based on 40 firms in each country
approximately 20 Private firms in each country & 20 firms owned by either public or private shareholders
Austria, Azerbaijan, Brazil, Estonia, Germany, Greece, Guatemala, India, Mexico, the Netherlands, Nigeria, Peru, Romania, Slovenia, Spain, Taiwan, and Turkey

Wiley
DiMaggio & Anheier
1990
Ecological perspective, Sociological perspective
Annual review of sociology
n/a
Public
US
n/a
Dunlap & Mertig
1991
Social constructionist
Society and Natural Resources
n/a
Public
US
Taylor & Francis
Longitidinal
Dvir, Eden, Avolio & Shamir
2002
Experiential learning & development, Leadership theories
Academy of Management Journal
Quantitative: 54 military leaders, 90 direct followers, and 724 indirect followers.
Public
Not given
Emerald Management
longitudinal
Easterby-Smith, Crossan & Nicolini
2000
Organizational learning, Social cognitive theory
Egri & Herman
2000
Change management, Complement environmental paradigm with management paradigm & Leadership theories
Academy of Management Journal
A mixed method study based on 73 leaders
Both nonprofit & for-profit
Canada/US
Emerald Management
Engelbrecht, Van Aswegen & Theron
2004
Leadership Theories, structural relationships
South African Journal of Business Management
Quantitative
Private: Non-probability
South African
Esson & Ertl
2013
Dynamic perspective of strategy capabilities, Decision theory, Policy implementation paradigm
The Political Quarterly
n/a
Public

Etzkowitz
2003
Entrepreneurship, Entrepreneurial University, Innovation theory, Change management
Research Policy
Qualitative: interview & case studies
Public
US, European and Latin American universities and archival research at Stanford University
ScienceDirect
Longitudinal: 80s & 90s
Eyal & Kark
2004
Complexity theory used to devised 4 entrepreneurial strategies, Organisation theory
Leadership and Policy in Schools
Quantitative: 140 Israeli elementary schools
Public
Israel
Taylor & Francis
Longitudinal
Gabris, Golembiewski & Ihrke
2001
Public leadership theory, Classical management theory
Administration Research and Theory
Quantitative
Public
Not given
Wiley
Not given
Gebert & Boerner
2012
Communication theory, Innovation theory, Leadership theory, Diversity management
Non-profit Management and Leadership
n/a
Nonprofit performing arts companies
Not given
EBSCO HOST
Not given
Greenberger & Sexton
1988
Change management, Leadership theory, Innovation theory, entrepreneurship, Psychology
Journal of Small Business Management
n/a
n/a
Not given
EBSCO HOST
Not given
Groves & LaRocca
2011
Transformational process, Leadership theories, Institutional theory, Organisational theory, Stakeholder theory
Journal of Business Ethic
Quantitative: split-sample, Qualitative: CEO interviews
72 for-profit & 50 nonprofit organisations
US
Springer

Longitudinal: 2-year period
(2008–2010)

Guerrero & Urbano

2012

Institutional Economics, Resource-Based View

Journal of Technology Transfer

Secondary data

fifty Spanish public universities

Spain

JSTOR

Not given

Gupta, MacMillan & Surie

2004

Discovery-driven approach, entrepreneurial leadership, Leadership theory, Social constructionist

Journal of Business Venturing

Quantitative

Firms across cultures

Clusterwise: Anglo, Nordic Europe, Germanic Europe, Latin Europe, Eastern Europe

ScienceDirect

Longitudinal

Hater & Bass
Organisational change, Leadership theories, attitude-change phenomena, Performance
Journal of Applied Psychology
Quantitative
Private
US
Emerald Management
Not given
Harvey, Royal & Stout
2003
Leadership theory
Psychological Reports
Quantitative
Public
Not given
Ammons Scientific
Not given
Holt
2008
Activity Theory used to understand entrepreneurial opportunity, Entrepreneurial learning, Cognitive approach, Transformation
Mind, Culture, and Activity
Qualitative: 90 entrepreneurs
Taylor & Francis
Longitudinal: entrepreneurs were interviewed three times at six monthly intervals
Hood
2003
Leadership theory, Social construct
Journal of Business Ethics
Quantitative
Private
US
JSTOR Archive
Not given
Hooijberg & Choi
2001
Generic leadership theory
Administration and Society
Quantitative
Private & Public
JSTOR Archive
55
Not given
Hosking
1988
Social psychological approach, Leadership theory
Journal of Management Studies
n/a
n/a
n/a
JSTOR Archive
Not given
Howard & Magretta
1995
Action theory, Strategic change & management
Harvard Business Review
Qualitative
Private
Not given

Not given
Jones, Macpherson & Thorpe
2010
Strategic entrepreneurship, action learning, collective critical reflection (organizational reflexivity)
Entrepreneurship & Regional Development
n/a
Private
Not given

Not given
Johnson
1998
Ecological paradigm
Society and Natural Resources
Quantitative
Not given
Not given
Not given
Taylor & Francis
Not given
Not given
Judge & Piccolo
2004
Leadership theory
Journal of Applied Psychology
Quantitative
n/a
n/a
Leadership Theory, Change management approaches, Communication theory, Incremental approach, Indifference approach, Immediate approach

British Journal of Management

Qualitative and Quantitative

Public

Not given

Emerald Management

Longitudinal study: two-year intervals over six years

Kim

2011

Academic entrepreneurship, Productivity change, Innovative approaches

Journal of Technology Transfer

Quantitative

Public

US

JSTOR

Longitudinal

Kirby

2005

Entrepreneurship and Intrapreneurship development theories

Journal of Technology Transfer

Qualitative (Case-based)

Public
59
UK
Springer
Cross-sectional
Koh, Steers & Terborg
1995
Leadership theory, Theory of collectivist culture
Journal of Organizational Development
Quantitative
Public
Singapore

Not given
Kraatz & Moore
2002
Organisation theory, Institutional change,
Academy of Management Journal
Quantitative
631 private independent colleges
US
JSTOR Archive
Longitudinal
Kuipers, Higgs, Kickert, Tummers, Grandia & Voet Van Der
60

2013

Change management, Leadership theory, complexity theory, Policy paradigm
Journal of Public Administration
n/a
n/a
n/a
EBSCO HOST
n/a
LeBrasseur
2002
Structural Change, Strategic change, Cultural change, Leadership theory
Australian Journal of Management
Quantitative & Case studies
Health sector: Canadian Hospitals
Canada
EBSCO HOST
Not given
Lian & Tui
2012
Theory-based model, Leadership theory,
The Journal of Applied Business and Economics
Quantitative: 2000 firms
Private
Klang Valley
EBSCO HOST
Not given
Lowe, Kroeck & Sivasubramaniam
1996
Organisation theory, Leadership theory
The Leadership Quarterly
Quantitative
public & private
Not given
EBSCO HOST
Not given
Middlehurst
2004
Historical perspective, Educational change
Higher Education Quarterly
n/a
n/a
n/a
JSTOR
n/a
Middlehurst 2013
Policy paradigm, Change management, Strategic capacity
Higher Education Quarterly
n/a
Public
UK
JSTOR Archive
Not given
Mitchell, Mertig & Dunlap 1983
Bureaucratization
Society and Natural Resources

public & private
Not given
Taylor & Francis
Not given
Neary & Saunders 2011
Critical reflexivity approach, Innovation theory, leadership & Learning theory
Higher Education Quarterly
Qualitative: Case studies of 12 universities; 60 individual face-to-face interviews
Public
UK
JSTOR Archive
Not given
Nelles & Vorley
2010
Pragmatic & Holistic approach, Education policy, Organisation paradigm
Innovative Higher Education
n/a
n/a
n/a

n/a
Niosi
2006
Spin-offs creation
The Journal of Technology Transfer
Quantitative
Public
Canada-US
Springer
Strategic changes, Leadership theory, Management approach
Academy of Educational Leadership Journal
Quantitative: 45 principals
public & private
New York State
Taylor & Francis
Not given
Orazi, Turrini & Valotti
2013
Public administration, Public management, Public sector leadership
International Review of Administrative Sciences
n/a
Public
Not given
SAGE
Not given
O’Shea, Allen, Chevalier & Roche
2005
Resource-based perspective
O’Shea, Chugh & Allen
2008
Systems approach, University spinoffs, University entrepreneurship
Journal of Technology Transfer
n/a
Public
n/a
Springer
Not given
Pawar & Eastman
1997
Leadership theory, Organisation & Management theories
Academy of Management Review
n/a
n/a
Not given
Emerald Management
n/a
Pedersen & Hartley
2008
Social constructionist, Policy & Reform paradigm
International Journal of Public Sector Management
n/a
public
UK and Denmark
JSTOR
n/a
Peters
2002
Policy paradigm, Governance
Political Science Series
n/a
Public
Not given
SAGE
n/a
Pettigrew, Woodman & Cameron
2001
Theories of change, organizational change & development

Academy of Management Journal

Not given

JSTOR

Pittaway, Robertson, Munir, Denyer & Neely

2004

Innovation theory used to study business dynamics & configuration

International Journal of Management Reviews

Portugal & Yuki

1994

Leadership theory, Social & Environmental change

Leadership Quarterly
Leadership theory
Journal of Management
Quantitative
Private
Not given
EBSCO HOST
Not given
Shatlock
2013
Governance & Management, Transformation, Institutional theory
Higher Education Quarterly
Quantitative: Survey
Public
UK
Wiley
Not given
Sharma & Dave
2011
Entrepreneurial orientation, Entrepreneurship
Journal of Indian Management
Quantitative
Leadership theory, Self-concept based motivational theory
Organisation Science
Quantitative

Activity theory and theories of distributed cognition (human cognition)
Journal of Curriculum Studies

JSTOR
Stensaker & Vabø
2013
Governance, Policy paradigm, reform and change, Strategy cognition
Higher Education Quarterly
Quantitative
Public
US and in Europe
Wiley
Not given
Thorpe, Gold, Holt & Clarke
2006
Social constructionist perspectives
International Small Business Journal
Qualitative: email interviews
cross-section of industry sectors
Not given
Sage
Not given
Van Wart
2003
Leadership theory
Public Administration Review
Organizational Dynamics

2008
Economic Perspective & Stakeholder Perspective used to understand responsible leadership

International Journal of Management Reviews

2014
Individual & collective learning, exploratory and exploitative learning, and intuitive and sensing learning
Washington, Patterson & Van Buren III
2013
Institutional leadership & theory
Harvard Business School Conference
Quantitative
Private: 1400 megachurches
US

Westley
1997
Classical theory, Social change
Organization & Environment
Qualitative: Interview
Public & Private
Not given
Sage
Not given
Whitley
2012
Governance change, Organisational actohood, Collectivist
Minerva
n/a
Public
Not given
Springer
n/a
Yokoyama
2006
Organisational change
Higher Education
Qualitative: case studies + documentation
Public & Private universities
Japan & UK
JSTOR
Not given
Yukl
1999
Leadership theories
The Leadership Quarterly
n/a
n/a
n/a
Zalenik
1977
Leadership theories, Organisation & Management approach
Harvard Business Review
n/a
n/a
n/a

Zalenik
1992
Leadership theories, Organisation & Management approach
Harvard Business Review
n/a
n/a
n/a

Zanetti & King
2013
Action theory (Psychology), Collective effervescence, Transformation

Administrative Theory & Praxis

Qualitative: Interview

Public

Not given

EBSCO HOST

Not given

Zhein & Marylène

2013

Leadership theory, Motivation theory, Collectivist

Journal of leadership & organizational studies

Quantitative

public & private

China & Canada

EBSCO HOST

Not given

Table 4: A summary of publications
## Appendix 2

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<th>Journals</th>
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<tr>
<td>International Journal of Management Reviews</td>
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<td>The Leadership Quarterly</td>
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<td>Academy of Management Journal</td>
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<td>Harvard Business Review</td>
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<td>6.1</td>
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<td>Journal of Technology Transfer</td>
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<td>Journal of Business Ethic</td>
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<td>2.4</td>
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<td>Journal of leadership &amp; organizational studies</td>
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<td>Research Policy</td>
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*Table 5: A summary of journal count*