Surrealism’s Curiosity: Lewis Carroll and the *Femme-Enfant*

Catriona McAra

Abstract

This paper concerns surrealist artists' and writers' appropriation of Lewis Carroll. Predominantly focusing on the work of Dorothea Tanning and Max Ernst, it suggests that Carroll's work appealed to the surrealist's fascination with their childhood selves, and their wish to identify with the curious character of Alice as *femme-enfant* as a way of subverting their bourgeois family backgrounds. Whether stepping *Through the Looking Glass* or breaking the rules in *Wonderland*, Alice can be read as a transgressive character apt for surrealist appropriation. The paper traces Carroll's reception in the surrealist movement, and articulates the curious character of the surrealist *femme-enfant* in order to reinscribe her epistemophilia in line with surrealism's orientation towards research.

Introduction

‘Curiouser and curiouser!’¹ This enchanting exclamation of a fictional little girl of nineteenth-century English literature might have functioned as a motto for surrealism, and indeed the eponymous protagonist of *Alice’s Adventures in Wonderland* (1865) and the sequel *Through the Looking Glass and What Alice Found There* (1871) did become an object of appropriation and investment for surrealist artists and writers. Alice’s story begins when, during a boring lesson delivered by her sister, her curiosity is sparked by the unusual sight of a white rabbit with a pocket watch. Alice follows him down a rabbit hole and into Wonderland, a magical underground domain through which she journeys in search of the rabbit. Along the way she encounters a cast of unusual creatures and frustrated aristocrats who put her sense of learnt rationality into question. Eventually she awakens from this dream back to reality, only to tear through a mirror above her mantelpiece in the second book, this time to the world of Looking Glass House, which is similarly nonsensical, its narrative arranged in the shape of a dysfunctional chess game.

The surrealist movement claimed the *Alice* books’ writer Lewis Carroll (Charles Ludwig Dodgson, 1832-98) as an important precursor. Traces of his influence can be found in a stream of surrealist works, and, further, surrealism can be seen to have co-opted the curiosity of his heroine Alice as an investigatory trope, in keeping with its research-based practice. The nineteenth-century bourgeois fiction of childish innocence has been steadily eroded by a succession of critiques,² from Freud's *Three Essays on the Theory of Sexuality* (1905) to the recent *Convulsive Nursery* conference at Manchester University.³ In fact, Carroll’s texts themselves threaten the myth of the innocent child by way of their epistemophilic dynamics of curiosity.

I want to suggest that this drive for knowledge, enshrined in the literary character of Alice, was appropriated by a number of surrealists from the first and second generations of the movement. Although I am not the first to point out that the ‘little Alice figure’ is a recurrent motif in surrealism,⁴ this paper aims to read surrealism more closely through the lens of the Carrollian narrative and his mathematical treatises, and to emphasise curiosity as an epistemophilic strategy in surrealist visual narratives. By relating Alice to the surrealist *femme-enfant* (child-woman), and
tracing the development of the girl-figure in the work of particular surrealists, I will address problematic areas of both surrealist and Carrollian scholarship. Both Carroll and the surrealist *femme-enfant* have a chequered history of misinterpretation, and it strikes me as interesting that these problems should overlap in the figure of the girl. Furthermore, I aim to show that Carroll and curiosity, as manifested in this Alice character, may be more important to the study of surrealism, its prehistories and its legacies, than previously thought. Though I will mainly address uses of Alice and Carroll in the work of Max Ernst and Dorothea Tanning, I will also look to recruitments of Alice by some of their contemporaries, in order to illustrate Carroll's pervasiveness in and around surrealism.5

**Lewis Carroll and Surrealism**

The surrealists were quick to recognise Carroll’s importance and the potential of his literature as source material. In the first *Manifesto of Surrealism* (1924), André Breton nostalgically valorised a sense of childlike wonder very close to the Alice-child’s point of view.6 Then, in *Surrealism and Painting* (1928), Breton described Picasso’s cubist painting as demonstrating that ‘the mind talks stubbornly to us of a future continent, and that everyone has the power to accompany an ever more beautiful Alice to Wonderland’;7 a motif that provoked Georges Bataille to denounce Breton’s ‘retreat’ to ‘the “wonderland” of Poetry.’8 To be sure, the scenario of *Alice’s Adventures in Wonderland*, which unfolds through the dream of the protagonist, coincided with the orthodox surrealist interest in dream narratives. Following the first *Manifesto*, references to Carroll began to recur regularly in surrealist art and literature. In 1929, Louis Aragon’s French translation of Carroll’s nonsense rhyme, *The Hunting of the Snark* (1874), was followed by his article in *Le Surréalisme au service de la révolution*, ‘Lewis Carroll – En 1931,’ in which he considered Carroll’s reception in France and how the use of nonsense poetry rebelled against the prevailing tendency to bowdlerise children’s literature.9

Carroll’s writings were soon claimed by English surrealists as part of their heritage; in 1935, in one of the first English language studies on the surrealist movement, David Gascoyne referred to Carroll as proof that surrealism had a literary forebear in England.10 A year later, Carroll was again cited as a key proto-surrealist by both Herbert Read and Julian Levy in their early studies of the movement.11 That same year, Carroll’s drawing of the Gryphon and Mock Turtle was included in the *Fantastic Art, Dada and Surrealism* exhibition at the Museum of Modern Art in New York – a show which traced the prehistory of the movement, placing Carroll alongside such artists as Bosch, Brueghel, Hogarth, Fuseli, Blake and Redon, as representing a fantastic ‘alternative view’ to Enlightened, bourgeois rationality.12 Second generation American surrealists such as Tanning, therefore, had an introduction to surrealism bound up with references to Carroll’s *Alice* books. Breton continued to include Carroll in his many lists of influential writers, most especially in the *Dictionnaire abrégé du surréalisme* (1938), which he compiled with Eluard, and the *Anthologie de l’humour noir* (1939/1947), which again cites the Gryphon and Mock Turtle episode.13 Three poems by Carroll, written during his youth in the 1840s for his journal *The Refectory Umbrella*, then
appeared in French in the December 1939 issue of the surrealist magazine *l’usage de la Parole*.\(^{14}\)

Here Carroll’s name appears in the contents pages alongside Gaston Bachelard, Paul Eluard, Marcel Duchamp and others, as if he were himself a member of the movement. Later, Carroll’s fictional Alice character was included alongside Freud, Sade and other surrealist heroes in the mock-Tarot *Jeu de Marseille* (1940-41), which was reproduced in the surrealist magazine *VVV* in 1943.\(^{15}\)

That same year Duchamp designed a flyer for an exhibition at the Julien Levy gallery entitled *Through the Big End of the Opera Glass* which cunningly echoed Carroll’s *Through the Looking Glass* (1869), and employed similar chess-like imagery.\(^{16}\)

Reflecting on surrealism in 1953, Breton continued to cite Carroll as an important precursor, alongside Lautréamont, Rimbaud and Mallarmé.\(^{17}\)

In the secondary literature, Rüdiger Tiedemann has discussed Carroll’s reception in surrealism, but overlooked Alice’s role in the work of late-surrealist practitioners such as Tanning.\(^{18}\)

Likewise, in *Surrealism and the Book* (1988), Renée Riese Hubert touched on the surrealist Alice, but sidelined Tanning, primarily focusing on Ernst and Dalí (on whose versions of Alice see below).\(^{19}\)

Sarah Wilson has noted the presence of an ‘Alice-like’ figure in Tanning’s work and used Carroll’s text as a way in to Ernst’s importance in England.\(^{20}\)

Meanwhile, Marina Warner, and more recently Natalya Lusty, have drawn convincing parallels between Carrington’s fairy tales and the *Alice* books, particularly in terms of the figure of the rebellious debutante.\(^{21}\)

Common to Carroll and surrealism was a sense of topsy-turveydom and the carnivalesque, overturning bourgeois rationalism,\(^{22}\) and postmodern Carrollian scholarship has often construed Alice in relation to the nonsense which surrounds her. Gilles Deleuze famously made use of the *Alice* books in his *Logic of Sense* (1969), in terms of paradox and the undoing of fixed identity.\(^{23}\)

Susan Stewart’s book *Nonsense* (1978) surrealistically juxtaposed Bretonian and Carrollian language games, a play of puns and portmanteaus, enabling separate realities to collide.\(^{24}\)

Recent readings have not only reclaimed Alice as a desiring body in her own right, but have suggested that she functions as the embodiment of the author or reader. Some of the most interesting interpretations have reread Carroll’s *Alice* in terms of her ‘dysmorphic’ bodily preoccupations and ‘epistemological crisis’.\(^{25}\)

**Alice as Femme-enfant**

It seems significant that surrealism’s interest in Carroll should have occurred around the same time as the *femme-enfant* became a major surrealist preoccupation in the 1930s and early 1940s. Breton, in particular, might not only have been fascinated by Carroll’s nonsense and dream narration, but also curious about Alice as an avatar of the *femme-enfant* described in *Arcane 17* (1945) as a figure who ‘sends fissures through the best organized systems because nothing has been able to subdue or encompass her.’\(^{26}\)

Despite the transgressiveness proposed by this statement, Breton’s conception of the *femme-enfant* as an enchanting, liminal and rebellious figure has often been dismissed as a conservative, and ultimately sexist, idealisation. According to Whitney Chadwick, the surrealist search for the woman-child’ was one for a figure whose presence...
inevitably, and perhaps more than any other single factor,’ worked ‘to exclude woman artists from the possibility of a profound personal identification with the theoretical side of Surrealism.’

So who or what was this notorious child-woman? Chadwick claims the _femme-enfant_ ‘prototype’ to be the androgynous figure that appears in a photomontage under the title _L’Ecriture Automatique_ in _La Révolution Surréaliste_ 9-10 (October 1927), and further identifies Meret Oppenheim, and Ernst’s second wife, Marie-Berthe Aurenche, as some of the first living incarnations of the _femme-enfant_: the former famed for her fur-covered tea-cup and spoon _Object_ (1936) (which one might read through Carroll’s Mad Tea Party episode); the latter associated with Ernst’s collage novel _Rêve d’une petite fille qui voulut entrer au Carmel_ (1930) [Fig.2]. There Ernst represented his devout twenty-year-old wife, Aurenche (b. 1910), in the guise of a little girl of a similar age and in similar attire to John Tenniel’s _Alice_ illustrations. Later, between 1936 and 1939, Ernst became romantically associated with the twenty-year old artist and writer Leonora Carrington (b. 1917) who, as we will see, developed an affiliation to Carroll’s _Alice_ due to her English identity. By the time Ernst became involved with Tanning (b. 1910) in the 1940s, she was already in her early thirties – but still considered to be a _femme-enfant_, as a second generation surrealist some nineteen years younger than her husband Ernst. Pablo Picasso was another surrealist associate who had a series of much younger wives and girlfriends, such as the teenager Marie-Thérèse Walter (1909-77) and later Dora Maar (1907-97), in her late twenties when Picasso was in his fifties. Likewise the relationship between Man Ray and Lee Miller (1907-77), where there was a seventeen year age gap. Breton’s fascination with the eponymous character in his novel _Nadja_ (1928) also followed this tendency. As well as being of undetermined age, Nadja perches on a slippery scale between fiction and reality. Later, Breton’s wife Jacqueline Lamba (1910-93), whom he met when she was in her mid-twenties, would serve as the apex of this emotional investment in young women. It has been suggested that Carroll himself was in love with the real Alice Liddell, the daughter of the Dean at Christ Church Oxford, a biographical note which was included in the _Fantastic Art, Dada and Surrealism_ catalogue.

Were these exploitative relationships? Carrington and Oppenheim were indeed youthful, aspiring artists when they joined the surrealist movement in the 1930s, but that is not to say that they were necessarily taken advantage of. Carrington’s fairy tale ‘Little Francis’ has been read as a criticism of her _femme-enfant_ status, but both she and Oppenheim were celebrated by Breton, Ernst and other surrealists for their active preservation of a child-like curiosity conceived as naughty and playful rather than naïve or innocent. As ‘little’ women in their early twenties, their coding as children seems almost deliberately anachronistic. The fourteen-year old poet Gisèle Prassinos (b.1920) would appear more appropriate as an idealisation of the _femme-enfant_ as an adolescent. These examples suggest the split status of the child-woman: women represented as children and vice versa. In surrealism this figure is often ambiguous – is she child-woman or sexualised child? Is she a young adult exhibiting childlike behaviour or a precocious minor? Likewise, Carroll’s _Alice_ is a labile figure who literally grows and shrinks, and her manner sometimes belies her years.
The surrealist child-woman was capable of dangerous play.\textsuperscript{33} Consider Simone, the sexually curious, perverse sixteen-year-old in Georges Bataille’s *Histoire de l’œil* (1928), a murderous nymphomaniac who may be compared with Juliette from Sade’s pornographic novel *Histoire de Juliette ou les Prospérités du vice* (1801) (a child-woman of interest to Breton).\textsuperscript{34} In the early 1930s the surrealists became interested in the case of the eighteen-year-old Violette Nozières, who poisoned her parents so that she could go to a party.\textsuperscript{35} These were *femmes-enfants-fatales*.

As a girl, the Alice figure was assuredly not the privileged protagonist of bourgeois modernity, but in surrealism, as for Carroll, she became an agent of critique and disruption. The image of ‘sugar and spice and all things nice’ was the received ideal or taboo necessary to the transgressive function of the curious girl. One of Dorothea Tanning’s favourite novels, Vladimir Nabokov’s *Lolita* (1955), reinterpreted the child-woman in this regard. Though hardly a surrealist text, *Lolita* dramatised particular perversions that opened onto the field of dissident eroticism spoken to by surrealism. Tanning highlighted the novel’s impact on her contemporaries, which might have included Balthus, who had already produced his own vision of *Alice* in 1933.\textsuperscript{36} The same image of sexual precocity appears in Hans Bellmer’s *La Poupée* project of the 1930s, and in Joseph Cornell’s surprisingly pornographic collages of young girls in the 1960s, such as the explicit *Untitled (Blue Nude)* (mid-1960s) and the suggestive *Battle of the Constellations* (1965).\textsuperscript{37} However, in all three cases, of Balthus, Bellmer and Cornell, persuasive arguments have been put forward for their *identification with the femme-enfant*, implying self-feminisation, or at least curiosity about the imaginative agency of little girls.\textsuperscript{38} One might suggest that the surrealists’ fascination with Alice pertained to the way in which she manifested her own curiosity, and indeed curiosity characterised the surrealist project in general. It is the narrative drive of Alice’s desire to know that allows us to identify with her. The White Rabbit is the object of Alice’s desire, but he is a cipher for the subtext of the Carrollian quest: the search for knowledge. Laura Mulvey notes the traditional gendering of curiosity as feminine, from the Pandora myth, and Eve’s role in the Biblical Fall, to the Bluebeard story.\textsuperscript{39}

Many of the surrealists are likely to have read or been read Carroll in their *fin-de-siècle* childhoods. The *Alice* books were translated into many languages, including French and German, as early as 1869; Ernst, for example, might have read Antonie Zimmermann’s translation of *Alice’s Adventures in Wonderland*, which was still popular in Germany by the time of his birth in 1891.\textsuperscript{40}
Fig. 1: John Tenniel. “You’re nothing but a pack of cards” in ‘Alice’s Evidence,’ Illustration for Lewis Carroll, Alice’s Adventures in Wonderland, Macmillan and Co. London, 1865.

Fig. 2: Max Ernst. “…you won’t be poor anymore, head-shaven pigeons, under my white dress, in my columbarium. I’ll bring you a dozen tons of sugar. But don’t you touch my hair!’ 1930, collage in Rêve d’une Petite Fille Qui Voulut Entrer au Carmel (The Little Girl Dreams of Taking the Veil), translated by Dorothea Tanning. George Braziller, New York, 1984, 81. © ADAGP, Paris and DACS. London 2009.

Later, Carroll’s name appeared in large font on the literary side of ‘Max Ernst’s Favorite Poets and Painters of the Past’ in the April 1942 edition of View magazine. Tanning has underlined the
lifelong importance of Carroll for Ernst, claiming that in the last years of her husband’s life it was Carroll, among few others, ‘who held him.’ Tanning herself read Carroll in her youth when at work in Galesburg Public Library, and enjoyed the pictures of the Alice illustrators John Tenniel and Arthur Rackham:

Every day sees her at the public library, as employee. There she makes some friends: Lewis Carroll, Madame d’Aulnoy, Andersen, Oscar Wilde. And the pictures! Tenniel, Rackham …

This was true too of Carrington, who read English children’s nursery classics, including Carroll, during her childhood in Lancaster.

One might argue that Carrington, Ernst, Tanning and other surrealists used nostalgia as a deliberately regressive strategy to interrogate their class origins. Both Ernst and Tanning grew up in repressive, highly religious, bourgeois households, in the Rhineland and Illinois respectively. Alice becomes an interesting figure of identification in this regard. She appears sweet and wholesome but transgresses the confines of her bourgeois nursery, through escape into imaginative, fantastical domains. Tenniel’s illustrations in the first editions of the Alice books have perhaps contributed to the misreading of Alice as innocent and naïve. By contrast, as we shall see, when the little girl features in the work of Ernst and Tanning, they appropriate her as a subversive device. She is not
idealised as pure and innocent but represented as ferociously sexualised and fully aware of her actions.⁴⁶


Ernst’s collage novel *Rêve d’une petite fille qui voulut entrer au Carmel*, and Tanning’s painting *Eine Kleine Nachtmusik* (1943), both read as Carrollian visual narratives, not least due to

Fig.5: John Tenniel, ‘The Garden of Live Flowers.’ Illustration for Lewis Carroll, *Through the Looking Glass and What Alice Found There*, Macmillan and Co. London, 1869.
their stylistic quotations of Tenniel. One might compare Tenniel's depiction of Alice being attacked by the cards in court [Fig.1], with Ernst's cover image of the eponymous petite fille being harassed by white birds inside a zoetrope, that philosophical toy of the Victorian nursery [Fig.2]. Werner Spies has demonstrated that the sources for Ernst's collages were nineteenth-century scientific journals such as La Nature, a visual culture broadly contemporaneous with Tenniel's illustrations and Ernst's own childhood. Again, many of Tenniel's Alice illustrations seem now to have anticipated surrealist juxtaposition, for example in the enlarged Cheshire Cat's head floating above the fray in 'The Queen's Croquet Ground' chapter [Fig.3].

Tanning's Eine Kleine Nachtmusik [Fig.4] also appears to appropriate Alice. It is reminiscent of the scene in Through the Looking the Glass when Alice meets the talking flowers [Fig.5]. However, in Tanning's version of events the flower has become overgrown and menacing, and the little girls' curiosity has brought erotic nocturnal knowledge (the flower implies defloration, or even menstruation, according to the archaic meaning of 'flowers'). Carroll's landscapes can likewise be dark, frightening places full of violence, monsters and latent meaning where the Alice-child must trump her obstacles. A similar situation occurs in Tanning's Endgame (1944) in which a giant girl's shoe rebelliously tramples a bishop in a manner reminiscent of Tenniel's illustrations for Carroll's chessboard-orientated Through the Looking Glass. But the femme-enfant, as understood in surrealism, is no longer the polite child of Tenniel's nineteenth-century illustrations. In Ernst's collage novel and Tanning's painting they have rather become 'wise children'.

Alice circa 1941
As I suggested earlier, Ernst tended to identify his partners with Carroll's Alice, perhaps most explicitly during his involvement with Carrington. He painted two portraits of her as Alice in 1939 and 1941. The second version, Alice in 1941, recalls Aragon's article, 'Lewis Carroll – En 1931.' Both Ernst's paintings situate their Alices in fantastical landscapes conjured from the decalcomania method. Carrington appears in both as an erotised, partially clad, fully developed Alice. Ernst is extending the narrative; Alice after Alice, as a grown-up, more woman than child: 'It is as if Alice were to grow up in Wonderland [...] and you were to meet her one day, to re-discover her enchantment, now filled with love and terror. Max Ernst became her guardian when you had forgotten her.

Though Ernst often idealised his current partner in the guise of the femme-enfant, Alice also served as his alter ego – as she had for Carroll. Ernst's other alter ego, the bird familiar Loplop, appears to nestle the Carrington/ Alice figure in the 1939 Alice work, and by the 1941 version [Fig.6] we find her wrapped in his feathers, no doubt in response to Carrington's earlier Portrait of Max Ernst (1938), which had portrayed Ernst in a red feathered gown.
Though Ernst depicts Carrington as ‘donning his mantle,’ the influence was surely reciprocal, and Carrington certainly brought an interest in Carroll to the relationship. Alice became a shared project, as Ernst’s fascination with Carroll intensified. Later, Alice would again be a site of intersection between Ernst and Dorothea Tanning.

Tanning’s self-portrait Birthday (1942) [Fig.7] can be compared compositionally and thematically with Ernst’s Robing of the Bride. Both include an unclassifiable fantasy creature from a child’s bestiary; Ernst’s being a little green she-goblin, and Tanning’s being highly reminiscent of Carroll’s Gryphon character [Fig.8], further examples of which Tanning would have seen at the Fantastic Art, Dada and Surrealism exhibition.
As it does for Carroll’s Alice at the beginning of Wonderland, a hallway of doors beckons Tanning’s curiosity. Birthday is often referred to as a rite of passage, though is this not perhaps also an example of a Carrollian ‘un-birthday’?57 Tanning certainly appears more startled than merry, and the mood seems more revelatory than celebratory. As with Ernst’s Alice paintings, in Birthday Tanning appears more adult than Alice with fully developed breasts on display, again implicitly extending the Alice narrative. However, in other important works of the 1940s such as Jeux d’enfants (1942) [Fig.9], Tanning makes her reference to the seven-year-old Alice figure much more direct. The tearing of the wallpaper in Jeux d’enfants has been interpreted as a rebellion against the artist’s bourgeois family life in Galesburg, where, as Tanning said herself, ‘nothing happens but the wallpaper.’58 This tearing open of a porthole in the bourgeois domestic order, recalls Through the Looking Glass where Alice tears through the gauze-like mirror above her mantelpiece in order to enter Looking Glass House.
Mary Ann Caws has indicated the transgressive aspects of Tanning’s ‘terrible little girls’ and their revelation of the house as a feminine body beneath the wallpaper. Here the dialectical tension between inside and outside is made manifest as the little ‘Alices’ battle with the gigantic architectural force, the ‘skin’ of the house. This might be compared compositionally with Tenniel’s illustration of a giant Alice in the hall of doors [Fig.10], and metaphorically with Carroll’s depiction of Alice in the rabbit’s house after her curiosity led her to sample an unlabelled potion causing an onslaught of growing pains [Fig. 11]. Tanning’s little girls tear down the dollhouse architecture inhabited by Carroll’s Alice, not only as an attack on the domestic space, but also with a desire to know the bodily self repressed by bourgeois manners.
Alice’s Spectral Perils

Around the 1950s, many of the child-women ‘Alice’ figures started to visually disintegrate as surrealism itself began to disband. Tanning’s lithograph album Les 7 périls spectraux (1950) depicts just such a breakdown. Though these Seven Perils do not explicitly relate to the Alice narrative, they might still be interpreted in Carrollian terms. This is most especially the case with the Premier Peril [Fig.12] in which we see an Alice-like figure approaching a book-door, driven, one assumes, by her epistemophilic curiosity to feel her way into knowledge. Like Birthday and Eine Kleine Nachtmusik, Premier Peril compositionally recalls Tenniel’s illustration of Alice in the hallway of doors [Fig.13]. Carroll’s little girl enters the book and thus, by metaphoric extension, into the narrative as a fictional ‘character.’ But this character is radically altered as Tanning’s perils progress. By the Septième Peril, [Fig.14] she leaves us with a montage of bodily organs and disembodied orifices, reminiscent of Bataille’s imagery in Histoire de l’œil when Simone inserts the priest’s eye into her vagina and the protagonist hallucinates it as belonging to their dead friend Marcelle.
Fig. 12: Dorothea Tanning. *Premier Peril*, 1950, lithograph, image: 14½ x 10 7/8” (36.8 x 27.6 cm.), paper: 19⅞ x 12⅞” (50.5 x 32.4 cm), from *Les sept périls spectraux* with text “Pourquoi Rester Muets?” by A. Pieyre de Mandiargues. © Image courtesy of the Dorothea Tanning Collection and Archive, New York.

Fig. 13: John Tenniel, ‘...she came upon a low curtain she hadn’t noticed before, and behind it was a little door about fifteen inches high ...’ Illustration for Lewis Carroll, *Alice’s Adventures in Wonderland*, Macmillan and Co. London, 1865.
Fig. 14: Dorothea Tanning, Septième Peril, 1950, lithograph, image: 14½ x 10 ½′ (36.8 x 27.6 cm.), paper: 19½ x 12½′ (50.5 x 32.4 cm), from Les sept périls spectaux with text “Pourquoi Rester Muets?” by A. Pieyre de Mandiargues. © Image courtesy of the Dorothea Tanning Collection and Archive, New York.

Broadly speaking, Tanning’s disruptive but still diminutive, Tenniel-like renderings of femmefants in the 1930s and 40s, stand in stark contrast to the imagery of the 1950s and ‘60s. The monstrous bodily spectre behind Jeux d’enfants has finally defeated the neat and tidy bourgeois child, and the latent narrative, of the body in a state of becoming, has finally come to the fore. Tanning has discussed her painterly turn in terms of a ‘splintering’ of the canvas and abstracting of forms, and as others have pointed out, ‘the Alice-like femmes-enfants transfigure to ripe, naked female bodies’ in this later body of work. Insomnies (1957) is a pivotal example as one can still make out the traces of such an ‘Alice-like’ child, though she has begun to drown in the painterly surface. Abstraction has overwhelmed representation. The very title awakens the viewer from the dream narrative of the Alice books and, by extension, the emphasis on the dream in surrealism, which Tanning was beginning to distance herself from. The boundaries are no longer in the
process of being torn down but are now fully broken, and only fragments of the *femme-enfant* can be found like a vague, blurry memory of childhood.

**Underlying Illogic: Later Appropriations of Carroll**

Ernst also sought to revise Alice around the same time. Following Walt Disney's sugar-coated feature-animation of 1951, which conflated aspects of *Alice's Adventures in Wonderland* with *Through the Looking Glass*, and after Magritte's *Alice au pays des merveilles* (1946) which depicted a giant Alice morphing into a tree, Ernst reinterpreted the stories in *For Alice's Friends*, two paintings of 1957. Here he returned to the less stable underside of the tale, and began to subvert Alice's contained appearance into a painterly abstraction.

In both versions of *For Alice's Friends* Ernst makes apparent the more multilayered aspect of the narrative with his scraping technique of *grattage*, reinforcing the forest landscape he uses to set the scene for Carroll's cast of unusual creatures. They emerge through the undergrowth. Ernst’s geometric renderings of the figures create a general economy of characters in that the figures could stand simultaneously for any number of fantastical pairings that occur throughout the narrative. The numerous ornithological beings no doubt represent Ernst’s own bird mythology. Ernst’s alter ego, the phonetically childlike Lop-Lop, chimes with Carroll’s Do-do, often seen as a representation of Dodgson himself. The Jub-jub in Carroll’s ‘Jabberwocky’ poem continues along similar lines, as did the playful da-da movement with which Ernst was formerly connected.

It has been claimed that Carroll’s mathematical treatise *The Game of Logic* (1886), originally intended for the ‘private instruction of his child friends,’ became one of Max Ernst’s ‘favourite books.’ Alongside the *Alice* fictions, Ernst also appropriated Carroll’s mathematical ideas into his work. In 1966 he illustrated a French translation of Carroll’s mathematical writings entitled *Logique sans peine*, where schematic forms fudge the system of logic in their seemingly random (dis)ordering of the conventional function of illustration. In the same year, Ernst’s friend Duchamp finished his assemblage, *Étant donnés* (1946-1966). In Carroll’s *Symbolic Logic* (1897), translated into French for the edition that Ernst illustrated, the phrase ‘Étant donné’ (a formal phase in French meaning ‘given’) is used to set up a pair of propositions:

Étant donné un couple de propositions de relation contenant deux classes complémentaires et proposées comme prémisses, trouver la conclusion – s’il en existe une – qui leur est conséquente.

(Given a Pair of Propositions of Relation, which contain between them a pair of co-divisional Classes, and which are proposed as Premises: to ascertain what conclusion, if any, is consequent from them).

In Carroll’s treatise this syllogistic logic tends toward the nonsensical:
That story of yours, about your once meeting the sea-serpent, always sets me off yawning; I never yawn unless when I am listening to something totally devoid of interest. ...

The Conclusion:
That story of yours, about your once meeting the sea-serpent, is totally devoid of interest.\textsuperscript{72}

Via Carroll, one might suggest that Duchamp’s \textit{Given 1. The Waterfall 2. The Illuminating Gas}, can be read as a syllogism, albeit a faulty, allegorical and necessarily inconclusive one:

\begin{quote}
Given, first, the waterfall, second the illuminating gas, we shall determine the conditions for the allegorical appearance of several collisions seeming strictly to succeed each other according to certain laws, in order to isolate the sign of accordance between on the one hand, this allegorical appearance and on the other, a choice of possibilities legitimated by these laws and also occasioning from them.\textsuperscript{73}
\end{quote}

Like many surrealists, Duchamp was aware of Carroll’s work prior to his association with the movement. In 1913 he made a little-known work entitled \textit{Musical Erratum} which, Arturo Schwarz believes, borrowed the chance recipe from Carroll’s ‘Poeta Fit, Non Nascitur’ in \textit{Phantasmagoria} (1860-63).\textsuperscript{74} The bachelors in Duchamp’s \textit{Large Glass} (1915-1923) – a Priest, a Bellboy, a Gendarme, a Cavalryman, a Policeman, an Undertaker, a Flunkey, a Busboy and a Stationmaster – half-echo the list of tradesmen in Carroll’s extended rhyme \textit{The Hunting of the Snark (An Agony in Eight Fits)} (1874): a Bellman, the Boots, a Bonnet Maker, a Barrister, a Broker, a Billiard Maker, a Banker, a Baker, a Beaver, and a Butcher. Later, Ernst produced a schematic revision of \textit{The Hunting of the Snark} (1950/68), originally illustrated by the Pre-Raphaelite illustrator Henry Holiday, radically abstracting the traditional appearance of the characters.\textsuperscript{75}

Ernst went on to refigure the Alice-child, reworking some of his \textit{Logic Without Difficulty} illustrations in a groundbreaking series of thirty-six lithographs for \textit{Lewis Carroll’s Wunderhorn} (1970).\textsuperscript{76} In the history of Alice illustrations they mark another radical departure, abstracting Alice beyond recognition. Here Ernst takes on the role of illustrator, collaborating with the art historian Werner Spies to compile a range of Carrollian texts in English and German. It reads as a late indication of Ernst’s lifelong respect for Carroll and debt to his works. Ernst’s homage to Carroll was perhaps also a retort to Dalí’s lurid illustrations for \textit{Alice’s Adventures in Wonderland} of the previous year (1969). Though both depict her as a stick-figure, Dalí’s Alice is a shadowy adolescent girl with skipping rope [Fig.15], while Ernst represents her and other characters as amorphous childlike doodles [Fig.16].\textsuperscript{77}
Conclusion: Tanning’s Surrealist Novel

Tanning has continued to investigate the child-woman as narrative device, returning to the fairy tale depictions she began in the 1940s. (This is true too of Carrington whose recent bronze sculpture
How Doth the Little Crocodile (1998) repeats a didactic line from Alice’s Adventures in Wonderland.78 Tanning’s allegorical, surrealist novel, Abyss (1949/77) republished as Chasm: A Weekend (2004), transports Wonderland and Looking Glass House to a desert fortress called Windcote which, like Carroll’s fictional worlds, is slightly out of time and functions according to subtly altered social codes.79 Here the seven-year-old Alice-figure goes by the name of Destina Meridian; the protagonist Albert, an emaciated painter reminiscent of Ernst, is drawn into her diminutive world. Curiosity leads him to the little girl’s attic nursery where she shows him her memory box and shares the secret of her imaginary friend, a lion. Interestingly, Tanning predominantly employs an adult male to represent the curious figure in this story, and a little girl to represent the white rabbit: the epistemophilic object of desire. However, she is, if possible, even more manipulative; an Alice with foresight. She displays a childlike curiosity in order to charm Albert but is already knowing and sibylline in character. Albert is later swallowed by the desert chasm, falling down the ‘rabbit hole’ only to be impaled at the bottom. Meanwhile, his fiancée, the blonde haired, naïve Nadine, functions as the adult Alice, but outside the enchanted safeguard of childhood, her curiosity leads to her ultimate gory fate. Nadine gains the knowledge she seeks but at the cost of defacement and death. As Chadwick rightly concludes, ‘the novel can be read as a kind of revenge of the femme-enfant.’80

I have sought in this paper to track the surrealist appropriation of Lewis Carroll, and to reconsider Carroll’s publications – the Alice books in particular – as surrealist intertexts. I have taken for my motif Alice’s curiosity, and sought thus to recode the femme-enfant as an epistemophilic figure. Just as Carroll’s Alice books appeal to both children and adults, the surrealist femme-enfant embodies a slippage between childhood and adulthood. Now in her one-hundredth year, Tanning is still appropriating her childhood self, and endowing her femmes-enfants with curiosity, enacting a continuity with – or return to – the surrealist project.

Warm thanks to Charlie Miller for his suggestions and encouragement. Thank you also to Pamela S. Johnson at the Dorothea Tanning Collection and Archive, New York. This article is a revised version of a research paper presented at New Perspectives on Surrealism and Its Legacies: Sixth Annual PhD Symposium, 28 November 2008.


organising the Convulsive Nursery conference which helped me think through some of these ideas (University of Manchester, May 2010).


5 Later Jan Švankmajer presented his surrealist vision of Alice, Něco z Alenky (1988), but I will not have space in this article to discuss his project in the detail which it merits. Recent research which chimes with my argument here includes Yang Yen-Yun, ‘Uncovering Alice’s Cabinet of Curiosities: On the Sadomasochism of Infantile Imagination in the Film Něco z Alenky of Jan Švankmajer,’ unpublished research paper, Querying Surrealism, Queering Surrealism, Sixth International Symposium, West Dean, Chichester (20 June 2010). See also Suzanne Keller, ‘The Forceful Imagination of Czech Surrealism: The Folkloric as Critical Culture,’ Anti-Tales: The Uses of Disenchantment, ed. C. McAra and D. Calvin, Cambridge Scholars Publishing, Newcastle, 2011, 75-83.


28 Ibid. For a reproduction of L’Ecriture Automatique see 33.

29 Barr, Fantastic Art, Dada and Surrealism, 207.


32 Ernst championed the work of both Oppenheim and Carrington, and later Tanning, by writing prefaces and exhibition interpretations for them, see for example ‘Preface, or Loplop Presents the Bride of the Wind,’ The House of Fear, 25-26, and his 1944 text Dorothea Tanning, extracts of which are reprinted in Jennifer Mundy, Desire Unbound, exhibition catalogue, London, Tate Publishing, 2001, 165. Chadwick suggests that Carrington’s rebellious behaviour ‘redefine[ed]’ the femme-enfant, 79.

34 Breton, Communicating Vessels, trans. Mary Ann Caws, University of Nebraska Press, 1990, 97.


36 Tanning, Birthday, 98. In Between Lives Tanning also notes her disappointment in missing Nabokov on his visit to Arizona, which further suggests the influence he held for her, 142. Jean Leymarie confirms Carroll’s books and Tenniel’s illustrations as source materials for Balthus’ paintings, Balthus, ex. cat. Editions d’Art Albert Skira, Geneva, 1982, 30.

37 For an interesting discussion of Joseph Cornell’s late pornographic collages see Andrew Brink, Desire and Avoidance in Art: Psychobiographical Studies with Attachment Theory, Peter Lang Publishing, New York, 2007, 140. For a reproduction of Untitled (Blue Nude) see Mundy, 315.

38 Mieke Bal argues that Balthus’ ‘children are self-portraits as much as portraits of his desire. Not simply his desire to possess but his desire to be (like) the children he so admires,’ Balthus: Works and Interview, Bal ed. Ediciones Poligrafia, Barcelona, 2008, 141. This argument has also been made recurrently in the extensive literature on Bellmer: Therese Lichtenstein, Behind Closed Doors: The Art of Hans Bellmer, University of California Press, Berkeley, 2001, 48-49. See also Sue Taylor, Hans Bellmer: The Anatomy of Anxiety, The MIT Press, Massachusetts, 2000, 68, 90. Foster has also argued: ‘Bellmer appears not only to desire the (dis)articulated female body but also to identify with it,’ Compulsive Beauty, 105. This concept of the male appropriation of the feminine domain has been widely discussed in surrealist scholarship. For example see Dawn Ades, ‘Surrealism, Male-Female,’ Surrealism: Desire Unbound, 171-196. For more on Cornell’s use of Carroll see Analisa Leppanen-Guerra, “The Child Lost in the Garden of Time”: Childhood and the Fourth Dimension in the Works of Joseph Cornell,’ unpublished thesis, University of California, Irvine, 2004, 169.


40 Carroll, Alice’s Abenteuer im Wunderland, illus. John Tenniel, trans. Antonie Zimmermann, Breitkopf und Härtel, Leipzig, 1869. The first French translation of Alice’s Adventures in Wonderland was by Henri Bué for Macmillan in 1869. See also Warren Weaver, Alice in Many Tongues: The Translations of Alice in Wonderland, Wisconsin University Press, Madison, 1964, 52, and, for a more general understanding of Carroll’s reception in both surrealism and popular culture, see Will Brooker, Alice’s Adventures: Lewis Carroll in Popular Culture, Continuum, London and New York, 2005, 78.


43 Tanning, Souvenirs,’ Dorothea Tanning, ed. Nordgren, 35.


48 Warner has linked the ‘apparition of the Cheshire Cat’ to the new cinematic techniques Carroll was interested in as a keen photographer, *Phantasmagoria: Spirit Visions, Metaphors, and Media into the Twenty-first Century*, Oxford University Press, Oxford, 2006, 153. One might also compare this image with a late collage by Tanning entitled *Cat* (1986).


50 Levy, 14.


54 Lusty, *Surrealism, Feminism, Psychoanalysis*, 27.

55 See Warlick, 163-165.

56 Bailly has suggested this creature might be a ‘griffin’ but makes no reference to Carroll, ‘Image Redux: The Art of Dorothea Tanning,’ 17.


64 However, Martin Sundberg’s recent article makes a convincing case for *Insomnias’* continuity with the surrealist dream project. ‘The Metamorphosis of Dorothea Tanning: On the Painting *Insomnias,*’ *Konsthistorisk Tidskrift/Journal of Art History*, 79:1 (March 2010): 25.


77 Hubert, *Surrealism and the Book*, 179.

78 Aberth, 128.

Catriona McAra is an A.H.R.C doctoral candidate in the History of Art at the University of Glasgow. She has previously published articles on the art of Joseph Cornell (CSP, 2009), the literature of Dorothea Tanning (Edwin Mellen Press, 2011), and has recently reviewed three books on the theme of ‘Surrealism as Epistemology’ for Art History (February 2011). She is co-editor of Anti-Tales: The Uses of Disenchantment (Cambridge Scholars Publishing, Newcastle, 2011).